

Account number	Rep Code
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Declaration of Beneficial Ownership in a Legal Entity

Investment Club, Partnership and Written Trust

Identify and document all Settlor(s) / Grantor(s), Trustee(s), Beneficiaries, Club Members and Partners.

Total ownership interest must equal 100%.

Append SIT100T pages to accommodate additional Settlor(s)/Grantor(s), Trustee(s), Beneficiaries, Club Members and Partners.

The information requested in this form is in compliance with regulatory requirements.

A Account information				
Legal Entity name (for account number above)		Legal Entity name (Beneficial Owner Name from the SIT100A form)		
Account type and classification (Select one)				
<input type="radio"/> Investment Club (complete section D for all club members)				
<input type="radio"/> Partnership (complete section D for all partners)				
Is the partnership an <input type="radio"/> Active Entity or a <input type="radio"/> Passive Entity (see Definition of Active and Passive Entity below)				
<input type="radio"/> Written Trust (complete section B / C / D as applicable)				
<input type="radio"/> Complex Trust <input type="radio"/> Grantor Trust <input type="radio"/> Simple Trust <input type="radio"/> U.S. Trust (also complete a W-9 Request for Taxpayer Identification and Certification form)				
Is the trust an <input type="radio"/> Active Entity or a <input type="radio"/> Passive Entity (see Definition of Active and Passive Entity below – <i>not applicable for U.S. Trust</i>)				
Definition of Active and Passive Entity				
Active Entity		Passive Entity		
<ul style="list-style-type: none"> The entity is Non-U.S. and is not a financial institution; Entities for which less than 50% of the gross income for the preceding calendar year was passive income and less than 50% of the assets held by the entity during the preceding calendar year were assets that produced passive income; Corporations with shares that regularly trade on an established securities market; Government or international organizations or agencies thereof and registered charities. 		<ul style="list-style-type: none"> A passive entity is any entity that is neither a financial institution nor an active entity. Passive income is generally understood to include income from the mere holding of property, such as interest, dividends, rents and royalties. 		
Definition of U.S. Person				
U.S. Persons include: U.S. citizens (including persons with dual citizenship), U.S. resident aliens, persons born in the U.S.A., U.S. lawful permanent residents (e.g. Green Card holders), entities incorporated or organized in the U.S.A. or persons who meet the Substantial Presence Test for U.S. Residency.				
Individuals and entities that are U.S. Persons must also complete a W-9 Request for Taxpayer Identification Number and Certification form.				
B Fully identify and document the following individual(s):				
i. Settlor(s)/Grantor(s). (also complete sections C – for trustees and D – for beneficiaries) (Settlor/Grantor being the person who establishes the trust)				
ii. Settlor(s)/Grantor(s) and Beneficiary(ies) same individual or entity. (also complete section C – for trustees) (Beneficiary being the recipient of the assets of the trust)				
iii. Settlor(s)/Grantor(s) and Trustee(s) same Individual or entity. (also complete section D – for beneficiaries) (Trustee manages the trust)				
iv. Settlor(s)/Grantor(s), Beneficiary(ies) and Trustee(s) same individual or entity. (complete section B only)				
Select one <input type="radio"/> Settlor/Grantor <input type="radio"/> Settlor/Grantor and Beneficiary same individual <input type="radio"/> Settlor/Grantor and Trustee same individual <input type="radio"/> Settlor/Grantor, Beneficiary and Trustee same individual				
First name and middle initial		Last name		
Address (number, street, apartment, rural route) (P.O. Boxes only are not acceptable)		City	Province/Territory	Postal code
Country				
Are you a U.S. Person for tax purposes? <input type="radio"/> No <input type="radio"/> Yes (see Definition of U.S. Person above)				
If yes, you must provide your SSN/TIN and also complete a W-9 Request for Taxpayer Identification Number and Certification form.				
You are a citizen of <input type="radio"/> Canada <input type="radio"/> U.S.A. <input type="radio"/> Other country (specify):				
Canadian SIN		United States SSN/TIN		Date of Birth (mm-dd-yyyy)
Are you (<i>individual or entity</i>) required to file a tax return in a country other than Canada or U.S.? <input type="radio"/> No <input type="radio"/> Yes If yes, list up to three countries and the Tax Identification Number (TIN).				
1. _____ TIN _____		2. _____ TIN _____		
3. _____ TIN _____				
Employer name		What is your current position/occupation?		
Are you a deemed insider (as defined in the Provincial Securities Act) of any public companies?				
<input type="radio"/> No <input type="radio"/> Yes If yes, enter the company name(s):				
Are you in a control position (as defined in the Provincial Securities Act) of any public companies?				
<input type="radio"/> No <input type="radio"/> Yes If yes, enter the company name(s):				
Identity type (Attach copy and for entity, please provide legal documents)		Reference number		Issuing jurisdiction and/or country
				Expiry date (mm-dd-yyyy)
Indicate class of shares or other type of beneficial ownership interest				Ownership interest
				%

Original - Branch Copy - Client

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Select one Settlor/Grantor Settlor/Grantor and Beneficiary same individual Settlor/Grantor and Trustee same individual Settlor/Grantor, Beneficiary and Trustee same individual

First name and middle initial	Last name			
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Address (number, street, apartment, rural route) (P.O. Boxes only are not acceptable)	City	Province/Territory	Postal code	Country
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Are you a U.S. Person for tax purposes? No Yes (see **Definition of U.S. Person** above)
 If yes, you must provide your SSN/TIN and also complete a **W-9 Request for Taxpayer Identification Number and Certification** form.
 You are a citizen of Canada U.S.A. Other country (specify):

Canadian SIN	United States SSN/TIN	Date of Birth (mm-dd-yyyy)
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Are you (*individual or entity*) required to file a tax return in a country other than Canada or U.S.? No Yes If yes, list up to three countries and the Tax Identification Number (TIN).
 1. _____ TIN _____ 2. _____ TIN _____
 3. _____ TIN _____

Employer name	What is your current position/occupation?
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Are you a **deemed insider** (as defined in the Provincial Securities Act) of any public companies?
 No Yes If yes, enter the company name(s):

Are you in a **control position** (as defined in the Provincial Securities Act) of any public companies?
 No Yes If yes, enter the company name(s):

Identity type (Attach copy and for entity, please provide legal documents)	Reference number	Issuing jurisdiction and/or country	Expiry date (mm-dd-yyyy)
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Indicate class of shares or other type of beneficial ownership interest	Ownership interest %
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C Fully identify and document the following:
 i. Trustee(s) (Individual)
 ii. Trustee(s) and Beneficiary(ies) same Individual(s)
 iii. Corporate Trustee (**Banks and/or trust companies. Complete 'Entity Name' and 'Evidence attached' sections only.**)

Select one Trustee (Individual) Trustee and Beneficiary same Individual Corporate Trustee (bank or trust company)

First name and middle initial	Last Name / Entity name			
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Address (number, street, apartment, rural route) (P.O. Boxes only are not acceptable)	City	Province/Territory	Postal code	Country
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Are you a U.S. Person (Individual or Entity) for tax purposes? No Yes (see **Definition of U.S. Person** on page 1)
 If yes, you must provide your SSN/TIN and also complete a **W-9 Request for Taxpayer Identification Number and Certification** form.
 You are a citizen of (Individual)/Established in (Entity) Canada U.S.A. Other country (specify):

Canadian SIN	United States SSN/TIN	Date of Birth (mm-dd-yyyy)
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Are you (*individual or entity*) required to file a tax return in a country other than Canada or U.S.? No Yes If yes, list up to three countries and the Tax Identification Number (TIN).
 1. _____ TIN _____ 2. _____ TIN _____
 3. _____ TIN _____

Employer name	What is your current position/occupation?
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Are you a **deemed insider** (as defined in the Provincial Securities Act) of any public companies?
 No Yes If yes, enter the company name(s):

Are you in a **control position** (as defined in the Provincial Securities Act) of any public companies?
 No Yes If yes, enter the company name(s):

Identity type (Attach copy and for entity, please provide legal documents)	Reference number	Issuing jurisdiction and/or country	Expiry date (mm-dd-yyyy)
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Indicate class of shares or other type of beneficial ownership interest	Ownership interest %
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Select one Trustee (Individual) Trustee and Beneficiary same Individual Corporate Trustee (bank or trust company)

First name and middle initial	Last Name / Entity name			
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Address (number, street, apartment, rural route) (P.O. Boxes only are not acceptable)	City	Province/Territory	Postal code	Country
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Are you a U.S. Person (Individual or Entity) for tax purposes? No Yes (see **Definition of U.S. Person** on page 1)
 If yes, you must provide your SSN/TIN and also complete a **W-9 Request for Taxpayer Identification Number and Certification** form.
 You are a citizen of (Individual)/Established in (Entity) Canada U.S.A. Other country (specify):

Canadian SIN	United States SSN/TIN	Date of Birth (mm-dd-yyyy)
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Are you (*individual or entity*) required to file a tax return in a country other than Canada or U.S.? No Yes If yes, list up to three countries and the Tax Identification Number (TIN).

1. _____ TIN _____ 2. _____ TIN _____
 3. _____ TIN _____

Employer name	What is your current position/occupation?
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Are you a **deemed insider** (as defined in the Provincial Securities Act) of any public companies?

No Yes If yes, enter the company name(s):

Are you in a **control position** (as defined in the Provincial Securities Act) of any public companies?

No Yes If yes, enter the company name(s):

Identity type (Attach copy and for entity, please provide legal documents)	Reference number	Issuing jurisdiction and/or country	Expiry date (mm-dd-yyyy)
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Indicate class of shares or other type of beneficial ownership interest	Ownership interest %
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Select one **Trustee (Individual)** **Trustee and Beneficiary same Individual** **Corporate Trustee (bank or trust company)**

First name and middle initial	Last Name / Entity name			
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Address (number, street, apartment, rural route) (P.O. Boxes only are not acceptable)	City	Province/Territory	Postal code	Country
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Are you a U.S. Person (Individual or Entity) for tax purposes? No Yes (see **Definition of U.S. Person** on page 1)

If yes, you must provide your SSN/TIN and also complete a **W-9 Request for Taxpayer Identification Number and Certification** form.

You are a citizen of (Individual)/Established in (Entity) Canada U.S.A. Other country (specify):

Canadian SIN	United States SSN/TIN	Date of Birth (mm-dd-yyyy)
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Are you (*individual or entity*) required to file a tax return in a country other than Canada or U.S.? No Yes If yes, list up to three countries and the Tax Identification Number (TIN).

1. _____ TIN _____ 2. _____ TIN _____
 3. _____ TIN _____

Employer name	What is your current position/occupation?
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Are you a **deemed insider** (as defined in the Provincial Securities Act) of any public companies?

No Yes If yes, enter the company name(s):

Are you in a **control position** (as defined in the Provincial Securities Act) of any public companies?

No Yes If yes, enter the company name(s):

Identity type (Attach copy and for entity, please provide legal documents)	Reference number	Issuing jurisdiction and/or country	Expiry date (mm-dd-yyyy)
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Indicate class of shares or other type of beneficial ownership interest	Ownership interest %
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D Fully identify and document the following individual(s) and/or legal entity:

- i. Beneficiary(ies)
- ii. Club Member(s)
- iii. Partner(s)

Select one **Beneficiary (if Written Trust)** **Club Member (if Investment Club)** **Partner (if Partnership)**

Select one **Individual** **Partnership*** **Written Trust* (*also complete section E)**
 Corporation** **Personal Holding**** **Non-Operating Private Company** (**also complete a SiT100A)**

First name and middle initial	Last Name / Entity name			
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Address (number, street, apartment, rural route) (P.O. Boxes only are not acceptable)	City	Province/Territory	Postal code	Country
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Are you a U.S. Person (Individual or Entity) for tax purposes? No Yes (see **Definition of U.S. Person** on page 1)

If yes, you must provide your SSN/TIN and also complete a **W-9 Request for Taxpayer Identification Number and Certification** form.

You are a citizen of (Individual)/Established in (Entity) Canada U.S.A. Other country (specify):

Canadian SIN	United States SSN/TIN	Date of Birth (mm-dd-yyyy)
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Are you (*individual or entity*) required to file a tax return in a country other than Canada or U.S.? No Yes If yes, list up to three countries and the Tax Identification Number (TIN).

1. _____ TIN _____ 2. _____ TIN _____
 3. _____ TIN _____

Employer name	What is your current position/occupation?
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Ownership Interest #1

Declaration of Beneficial Ownership in a Legal Entity

Investment Club, Partnership and Written Trust

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Are you a deemed insider (as defined in the Provincial Securities Act) of any public companies? <input type="radio"/> No <input type="radio"/> Yes If yes, enter the company name(s):			
Are you in a control position (as defined in the Provincial Securities Act) of any public companies? <input type="radio"/> No <input type="radio"/> Yes If yes, enter the company name(s):			
Identity type (Attach copy and for entity, please provide legal documents)	Reference number	Issuing jurisdiction and/or country	Expiry date (mm-dd-yyyy)
Indicate class of shares or other type of beneficial ownership interest			Ownership interest %

Select one **Beneficiary (if Written Trust)** **Club Member (if Investment Club)** **Partner (if Partnership)**

Select one **Individual** **Partnership*** **Written Trust* (*also complete section E)**
 Corporation** **Personal Holding**** **Non-Operating Private Company** (**also complete a SiT100A)**

First name and middle initial		Last Name / Entity name			
Address (number, street, apartment, rural route) (P.O. Boxes only are not acceptable)		City	Province/Territory	Postal code	Country

Are you a U.S. Person (Individual or Entity) for tax purposes? No Yes (see **Definition of U.S. Person** on page 1)
 If yes, you must provide your SSN/TIN and also complete a **W-9 Request for Taxpayer Identification Number and Certification** form.
 You are a citizen of (Individual)/Established in (Entity) Canada U.S.A. Other country (specify):

Canadian SIN	United States SSN/TIN	Date of Birth (mm-dd-yyyy)
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Are you (*individual or entity*) required to file a tax return in a country other than Canada or U.S.? No Yes If yes, list up to three countries and the Tax Identification Number (TIN).
 1. _____ TIN _____ 2. _____ TIN _____
 3. _____ TIN _____

Employer name	What is your current position/occupation?
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Are you a deemed insider (as defined in the Provincial Securities Act) of any public companies? <input type="radio"/> No <input type="radio"/> Yes If yes, enter the company name(s):			
Are you in a control position (as defined in the Provincial Securities Act) of any public companies? <input type="radio"/> No <input type="radio"/> Yes If yes, enter the company name(s):			
Identity type (Attach copy and for entity, please provide legal documents)	Reference number	Issuing jurisdiction and/or country	Expiry date (mm-dd-yyyy)
Indicate class of shares or other type of beneficial ownership interest			Ownership interest %

Select one **Beneficiary (if Written Trust)** **Club Member (if Investment Club)** **Partner (if Partnership)**

Select one **Individual** **Partnership*** **Written Trust* (*also complete section E)**
 Corporation** **Personal Holding**** **Non-Operating Private Company** (**also complete a SiT100A)**

First name and middle initial		Last Name / Entity name			
Address (number, street, apartment, rural route) (P.O. Boxes only are not acceptable)		City	Province/Territory	Postal code	Country

Are you a U.S. Person (Individual or Entity) for tax purposes? No Yes (see **Definition of U.S. Person** on page 1)
 If yes, you must provide your SSN/TIN and also complete a **W-9 Request for Taxpayer Identification Number and Certification** form.
 You are a citizen of (Individual)/Established in (Trust) Canada U.S.A. Other country (specify):

Canadian SIN	United States SSN/TIN	Date of Birth (mm-dd-yyyy)
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Are you (*individual or entity*) required to file a tax return in a country other than Canada or U.S.? No Yes If yes, list up to three countries and the Tax Identification Number (TIN).
 1. _____ TIN _____ 2. _____ TIN _____
 3. _____ TIN _____

Employer name	What is your current position/occupation?
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Are you a deemed insider (as defined in the Provincial Securities Act) of any public companies? <input type="radio"/> No <input type="radio"/> Yes If yes, enter the company name(s):			
Are you in a control position (as defined in the Provincial Securities Act) of any public companies? <input type="radio"/> No <input type="radio"/> Yes If yes, enter the company name(s):			
Identity type (Attach copy and for entity, please provide legal documents)	Reference number	Issuing jurisdiction and/or country	Expiry date (mm-dd-yyyy)
Indicate class of shares or other type of beneficial ownership interest			Ownership interest %

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E Complete this section if you have identified in section D that a Partnership or Written Trust holds an ownership interest

Indicate the corresponding number for the beneficial ownership interest as indicated in section D. For each entity ensure that all Beneficial Owners are identified and the total percentage of each entity equals 100%.

Where there are more beneficial owners, append additional **SIT100T Declaration of Beneficial Ownership in a Legal Entity forms**.

Ownership Interest #	Select one	<input type="radio"/> Settlor/Grantor <input type="radio"/> Settlor/Grantor and Beneficiary same individual <input type="radio"/> Settlor/Grantor and Trustee same individual <input type="radio"/> Settlor/Grantor, Beneficiary and Trustee same individual <input type="radio"/> Beneficiary <input type="radio"/> Partner (If Beneficiary or Partner is an Entity, append additional completed SIT100A/SIT100T) <input type="radio"/> Trustee <input type="radio"/> Trustee and Beneficiary same individual			
First name and middle initial		Last Name / Entity name			
Address (number, street, apartment, rural route) (P.O. Boxes only are not acceptable)		City	Province/Territory	Postal code	Country
Are you a U.S. Person (Individual or Entity) for tax purposes? <input type="radio"/> No <input type="radio"/> Yes (see Definition of U.S. Person on page 1)					
If yes, you must provide your SSN/TIN and also complete a W-9 Request for Taxpayer Identification Number and Certification form.					
You are a citizen of (Individual)/Established in (Entity) <input type="radio"/> Canada <input type="radio"/> U.S.A. <input type="radio"/> Other country (specify):					
Canadian SIN		United States SSN/TIN		Date of Birth (mm-dd-yyyy)	
Are you (<i>individual or entity</i>) required to file a tax return in a country other than Canada or U.S.? <input type="radio"/> No <input type="radio"/> Yes If yes, list up to three countries and the Tax Identification Number (TIN).					
1. _____ TIN _____		2. _____ TIN _____		3. _____ TIN _____	
Employer name		What is your current position/occupation?			
Are you a deemed insider (as defined in the Provincial Securities Act) of any public companies? <input type="radio"/> No <input type="radio"/> Yes If yes, enter the company name(s):					
Are you in a control position (as defined in the Provincial Securities Act) of any public companies? <input type="radio"/> No <input type="radio"/> Yes If yes, enter the company name(s):					
Identity type (Attach copy and for entity, please provide legal documents)		Reference number		Issuing jurisdiction and/or country	Expiry date (mm-dd-yyyy)
Indicate class of shares or other type of beneficial ownership interest					Ownership interest %
Ownership Interest #	Select one	<input type="radio"/> Settlor/Grantor <input type="radio"/> Settlor/Grantor and Beneficiary same individual <input type="radio"/> Settlor/Grantor and Trustee same individual <input type="radio"/> Settlor/Grantor, Beneficiary and Trustee same individual <input type="radio"/> Beneficiary <input type="radio"/> Partner (If Beneficiary or Partner is an Entity, append additional completed SIT100A/SIT100T) <input type="radio"/> Trustee <input type="radio"/> Trustee and Beneficiary same individual			
First name and middle initial		Last Name / Entity name			
Address (number, street, apartment, rural route) (P.O. Boxes only are not acceptable)		City	Province/Territory	Postal code	Country
Are you a U.S. Person (Individual or Entity) for tax purposes? <input type="radio"/> No <input type="radio"/> Yes (see Definition of U.S. Person on page 1)					
If yes, you must provide your SSN/TIN and also complete a W-9 Request for Taxpayer Identification Number and Certification form.					
You are a citizen of (Individual)/Established in (Entity) <input type="radio"/> Canada <input type="radio"/> U.S.A. <input type="radio"/> Other country (specify):					
Canadian SIN		United States SSN/TIN		Date of Birth (mm-dd-yyyy)	
Are you (<i>individual or entity</i>) required to file a tax return in a country other than Canada or U.S.? <input type="radio"/> No <input type="radio"/> Yes If yes, list up to three countries and the Tax Identification Number (TIN).					
1. _____ TIN _____		2. _____ TIN _____		3. _____ TIN _____	
Employer name		What is your current position/occupation?			
Are you a deemed insider (as defined in the Provincial Securities Act) of any public companies? <input type="radio"/> No <input type="radio"/> Yes If yes, enter the company name(s):					
Are you in a control position (as defined in the Provincial Securities Act) of any public companies? <input type="radio"/> No <input type="radio"/> Yes If yes, enter the company name(s):					
Identity type (Attach copy and for entity, please provide legal documents)		Reference number		Issuing jurisdiction and/or country	Expiry date (mm-dd-yyyy)
Indicate class of shares or other type of beneficial ownership interest					Ownership interest %

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Ownership Interest #	Select one <input type="radio"/> Settlor/Grantor <input type="radio"/> Settlor/Grantor and Beneficiary same individual <input type="radio"/> Settlor/Grantor and Trustee same individual <input type="radio"/> Settlor/Grantor, Beneficiary and Trustee same individual <input type="radio"/> Beneficiary <input type="radio"/> Partner (If Beneficiary or Partner is an Entity, append additional completed SiT100A/SiT100T) <input type="radio"/> Trustee <input type="radio"/> Trustee and Beneficiary same individual
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First name and middle initial	Last Name / Entity name			
Address (number, street, apartment, rural route) (P.O. Boxes only are not acceptable)	City	Province/Territory	Postal code	Country

Are you a U.S. Person (Individual or Entity) for tax purposes? No Yes (see **Definition of U.S. Person** on page 1)
 If yes, you must provide your SSN/TIN and also complete a **W-9 Request for Taxpayer Identification Number and Certification** form.
 You are a citizen of (Individual)/Established in (Entity) Canada U.S.A. Other country (specify):

Canadian SIN	United States SSN/TIN	Date of Birth (mm-dd-yyyy)
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Are you (*individual or entity*) required to file a tax return in a country other than Canada or U.S.? No Yes If yes, list up to three countries and the Tax Identification Number (TIN).
 1. _____ TIN _____ 2. _____ TIN _____
 3. _____ TIN _____

Employer name	What is your current position/occupation?
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Are you a **deemed insider** (as defined in the Provincial Securities Act) of any public companies?
 No Yes If yes, enter the company name(s):

Are you in a **control position** (as defined in the Provincial Securities Act) of any public companies?
 No Yes If yes, enter the company name(s):

Identity type (Attach copy and for entity, please provide legal documents)	Reference number	Issuing jurisdiction and/or country	Expiry date (mm-dd-yyyy)
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Indicate class of shares or other type of beneficial ownership interest	Ownership interest	%
Total Ownership Interest for section E (must equal 100%)		%

F Certification (Signature(s) required)

The undersigned authorized person certifies and agrees that:

- The above is a full and complete disclosure of information with respect to the above account holder and to all natural persons, entities, trusts and directors holding ownership interest (direct or indirect) in the above named account;
- We will maintain accurate up-to-date information respecting all beneficial owners by notifying Scotia Capital Inc. of material changes and provide confirmation and up-to-date information about beneficial owners as requested under the Proceeds of Crime (Money Laundering) and Terrorist Financing Act, Qualified Intermediary, Canadian Tax Regulations related to the Foreign Account Tax Compliance Act and Investment Industry Regulatory Organization of Canada regulations, or by an external auditor of Scotia Capital Inc. At the time of an external audit examination, we understand that we may be contacted by Scotia Capital Inc. and requested to provide to their auditors information on the current beneficial owners to validate that the current file at Scotia Capital Inc. is up-to-date and accurate, or to provide new information about beneficial owners as required, and agree to do so;
- The income to which this form relates is: (a) not effectively connected with the conduct of a trade or business in the United States, (b) effectively connected but is not subject to tax under an income tax treaty, or (c) the partner's share of a partnership's effectively connected income, and for broker transactions or barter exchanges, the beneficial owner is an exempt foreign person as defined in the instructions;
- We acknowledge that information contained in this form and information regarding my Scotia iTrade Inc. account(s) (including information on account balances and payments received) may be reported to the Canada Revenue Agency (CRA), and that the CRA may provide the information to any additional country I have listed above as being a country in which I am a resident for tax purposes;
- The authorized person below has the capacity to sign this form for the beneficial owner(s) named on this form; and
- We will provide the required verification documents for this entity and the personal identity documents for authorized persons and individual beneficial owners and submit a new form within 30 days of such change in circumstances if any certification on this form becomes incorrect as required under current legislation and regulations.

 Signature of Authorized Person	Date (mm-dd-yyyy)
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Authorized Person Name	Position within organization (CEO, President, Secretary, Trustee, etc)
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 Signature of Authorized Person	Date (mm-dd-yyyy)
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Authorized Person Name	Position within organization (CEO, President, Secretary, Trustee, etc)
------------------------	--