

Declaration of Beneficial Ownership in a Legal Entity

Corporation and Personal Holding / Non-Operating Private Company

Identify and document Beneficial Owners with 25% or greater ownership interest

The information requested in this form is in compliance with regulatory requirements.

Account Number

A Account Information

Legal Entity name (for account number above)

Account type and classification (Information must match what is on application)

☐ Corporation (Not an Acceptable Institution / Acceptable Counterparty on CIRO listing)

Is the Corporation an ☐ Active Entity or a ☐ Passive Entity (see Definition of Active and Passive Entity on page 7 – not applicable for U.S. Trust)

Note: Where the Corporation is an Insurance Company, Trust Company, Central Credit Union & Regional, Caisse Populaire, Canadian Chartered Bank, Quebec Savings Bank, Pension Fund, Foreign Bank, or a Qualified Intermediary, do NOT classify as an Active or Passive Entity.

☐ Personal Holding / Non-Operating Private Company

Is the Company an ☐ Active Entity or a ☐ Passive Entity (see Definition of Active and Passive Entity on page 7)

Is the entity a tax resident of the U.S.? ☐ No ☐ Yes

If yes, also complete a **W-9 Request for Taxpayer Identification Number and Certification** form.

Is the entity a tax resident of a jurisdiction other than Canada or the United States?

☐ No, Complete **Section C - Entity Classification** ☐ Yes, give the entities jurisdictions of tax residence and taxpayer identification numbers (TIN) or functional equivalent.

If the entity does not have a TIN for a specific jurisdiction, give the reason using one of these choices:
Reason 1: The entity will apply or has applied for a TIN but has not yet received it.
Reason 2: The entity's jurisdiction of residence does not issue TINs to its residents.
Reason 3: Other reason – Specify (TIN required within a year):

Country	TIN	No TIN Reason Code
Country	TIN	No TIN Reason Code
Country	TIN	No TIN Reason Code

☐ Unable to determine Ultimate Beneficial Ownership (“UBO”) or information regarding the entity's directors, managing partners, trustees, settlors, or beneficiaries.

Document the following

Does any natural person or entity have a 25% or greater direct or indirect ownership interest in this Entity? ☐ No ☐ Yes

1. If No, complete the Directors and/or Senior Officers section providing their Name(s) and Occupation(s) only

2. If Yes Ownership interests 25% or greater are held by INDIVIDUALS ONLY. Complete section C and the Directors and/or Senior Officers section.

3. If Yes Ownership interests 25% or greater are held by INDIVIDUALS and / or OTHER LEGAL ENTITIES. Complete section C and/or D and the Directors and/or Senior Officers section. For complex ownership structures, attach a diagram if available.

Directors and/or Senior Officers (e.g, the top executives of the entity, such as President and Chief Operating Officer)

(List all directors and/or senior officers. Append additional SIT100A Declaration of Beneficial Ownership in a Legal Entity if required.)

Director and/or Senior Officer Name (Title, first name, middle initial and last name)	Director and/or Senior Officer Occupation

Are you a tax resident or citizen of the U.S.? ☐ No ☐ Yes

Are you a tax resident of a jurisdiction other than Canada or the U.S.? ☐ No ☐ Yes If yes, please complete the SiT-CRS form.

Are you a tax resident or citizen of the U.S.? ☐ No ☐ Yes

Are you a tax resident of a jurisdiction other than Canada or the U.S.? ☐ No ☐ Yes If yes, please complete the SiT-CRS form.

Are you a tax resident or citizen of the U.S.? ☐ No ☐ Yes

Are you a tax resident of a jurisdiction other than Canada or the U.S.? ☐ No ☐ Yes If yes, please complete the SiT-CRS form.

Declaration of Beneficial Ownership in a Legal Entity

Corporation and Personal Holding / Non-Operating Private Company

Account Number

B Beneficial Owner from CA100A/T Declaration of Beneficial Ownership in a Legal Entity form

Legal Entity name (for account number above)

C Identify the Beneficial Ownership Interest for this account

Partnerships or Written Trusts that declare an ownership interest on this form must also complete a **SIT100T Declaration of Beneficial Ownership in a Legal Entity** form and identify all partners and beneficiaries. Attach or forward evidence documents along with a copy of the completed form. **Where there are more beneficial owners, append additional SIT100A Declaration of Beneficial Ownership in a Legal Entity forms.**

Select Beneficial Owner type (If a Corporation or Personal Holding / Non-Operating Private Company is selected, **also complete section D**)

☐ Individual ☐ Partnership ☐ Written Trust ☐ Corporation ☐ Personal Holding / Non-Operating Private Company ☐ Estate

First Name and middle initial

Last Name

Entity Name

Address (number, street, apartment, rural route) (P.O. Boxes only are not acceptable)

City

Province/Territory/State

Postal code

Country

Are you a tax resident or citizen of the U.S.? ☐ No ☐ YesIf yes, you must provide your SSN/TIN and also complete a **W-9 Request for Taxpayer Identification Number and Certification** form.

Canadian SIN

United States SSN/TIN

Date of Birth (mm-dd-yyyy)

Are you (*individual or entity*) a tax resident of a jurisdiction other than Canada or the U.S.? ☐ No ☐ Yes If yes, list up to three jurisdictions and the Tax Identification Number (TIN).

If you do not have a TIN for one of the below noted jurisdictions, please indicate one of the following reason codes:

Reason Code 1: I will apply or have applied for a TIN, but have not yet received it (TIN is required within a year)**Reason Code 2:** My jurisdiction of tax residence does not issue TINs to its residents**Reason Code 3:** Other - Specify (TIN is required within a year)

1. _____ TIN _____ Reason Code _____ Other - specify _____

2. _____ TIN _____ Reason Code _____ Other - specify _____

3. _____ TIN _____ Reason Code _____ Other - specify _____

If the jurisdiction(s) of tax residency you listed above is one of the following countries please answer the 4 questions below.

Antigua and Barbuda, Bahamas, Bahrain, Barbados, Cyprus, Dominica, Grenada, Malta, Panama, Qatar, Saint Kitts and Nevis, Saint Lucia, Seychelles, Turks and Caicos Islands, United Arab Emirates and Vanuatu

1. Has the controlling person obtained residency rights under a Citizenship by Investment (CBI) or Residence by Investment (RBI) offering for this country?

☐ No ☐ Yes. What Country? _____

2. Does the controlling person hold residence rights in any other jurisdiction?

☐ No ☐ Yes. What Country? _____

3. Has the controlling person spent more than 90 days in any jurisdiction during the previous year?

☐ No ☐ Yes. What Country? _____

4. In which jurisdictions has the controlling person filed personal income tax returns during the previous year?

☐ The controlling person has not filed personal taxes in another jurisdiction.☐ The controlling person has filed in. Name of Country _____

If you have answered "Yes" in this section, please verify that all the required countries and TINs have been provided.

Employer name

What is your current position/occupation?

Are you a **deemed insider** (as defined in the Provincial Securities Act) of any public companies?☐ No ☐ Yes If yes, enter the company name(s):Are you in a **control position** (as defined in the Provincial Securities Act) of any public companies?☐ No ☐ Yes If yes, enter the company name(s):

ID type (Attach copy and for entity, please provide legal documents)

Reference number

Issuing jurisdiction and/or country

Expiry date (mm-dd-yyyy)

Indicate class of shares or other type of beneficial ownership interest

Ownership interest

%

Ownership Interest #1

Declaration of Beneficial Ownership in a Legal Entity

Corporation and Personal Holding / Non-Operating Private Company

Account Number

Ownership Interest #2	Select Beneficial Owner type (If a Corporation or Personal Holding / Non-Operating Private Company is selected, also complete section D)				
	<input type="radio"/> Individual <input type="radio"/> Partnership <input type="radio"/> Written Trust <input type="radio"/> Corporation <input type="radio"/> Personal Holding / Non-Operating Private Company <input type="radio"/> Estate				
	First Name and middle initial		Last Name		Entity Name
	Address (number, street, apartment, rural route) (P.O. Boxes only are not acceptable)		City	Province/Territory/State	Postal code Country
	Are you a tax resident or citizen of the U.S.? <input type="radio"/> No <input type="radio"/> Yes If yes, you must provide your SSN/TIN and also complete a W-9 Request for Taxpayer Identification Number and Certification form.				
	Canadian SIN		United States SSN/TIN		Date of Birth (mm-dd-yyyy)
	Are you (<i>individual or entity</i>) a tax resident of a jurisdiction other than Canada or the U.S.? <input type="radio"/> No <input type="radio"/> Yes If yes, list up to three jurisdictions and the Tax Identification Number (TIN). If you do not have a TIN for one of the below noted jurisdictions, please indicate one of the following reason codes: Reason Code 1: I will apply or have applied for a TIN, but have not yet received it (TIN is required within a year) Reason Code 2: My jurisdiction of tax residence does not issue TINs to its residents Reason Code 3: Other - Specify (TIN is required within a year) 1. _____ TIN _____ Reason Code _____ Other - specify _____ 2. _____ TIN _____ Reason Code _____ Other - specify _____ 3. _____ TIN _____ Reason Code _____ Other - specify _____				
	If the jurisdiction(s) of tax residency you listed above is one of the following countries please answer the 4 questions below. Antigua and Barbuda, Bahamas, Bahrain, Barbados, Cyprus, Dominica, Grenada, Malta, Panama, Qatar, Saint Kitts and Nevis, Saint Lucia, Seychelles, Turks and Caicos Islands, United Arab Emirates and Vanuatu				
	1. Has the controlling person obtained residency rights under a Citizenship by Investment (CBI) or Residence by Investment (RBI) offering for this country? <input type="radio"/> No <input type="radio"/> Yes. What Country? _____				
	2. Does the controlling person hold residence rights in any other jurisdiction? <input type="radio"/> No <input type="radio"/> Yes. What Country? _____				
3. Has the controlling person spent more than 90 days in any jurisdiction during the previous year? <input type="radio"/> No <input type="radio"/> Yes. What Country? _____					
4. In which jurisdictions has the controlling person filed personal income tax returns during the previous year? <input type="radio"/> The controlling person has not filed personal taxes in another jurisdiction. <input type="radio"/> The controlling person has filed in. Name of Country _____					
If you have answered "Yes" in this section, please verify that all the required countries and TINs have been provided.					
Ownership Interest #3	Employer name		What is your current position/occupation?		
	Are you a deemed insider (as defined in the Provincial Securities Act) of any public companies? <input type="radio"/> No <input type="radio"/> Yes If yes, enter the company name(s): _____				
	Are you in a control position (as defined in the Provincial Securities Act) of any public companies? <input type="radio"/> No <input type="radio"/> Yes If yes, enter the company name(s): _____				
	ID type (Attach copy and for entity, please provide legal documents)	Reference number	Issuing jurisdiction and/or country	Expiry date (mm-dd-yyyy)	
	Indicate class of shares or other type of beneficial ownership interest				Ownership interest %
	Select Beneficial Owner type (If a Corporation or Personal Holding / Non-Operating Private Company is selected, also complete section D)				
	<input type="radio"/> Individual <input type="radio"/> Partnership <input type="radio"/> Written Trust <input type="radio"/> Corporation <input type="radio"/> Personal Holding / Non-Operating Private Company <input type="radio"/> Estate				
	First Name and middle initial		Last Name		Entity Name
	Address (number, street, apartment, rural route) (P.O. Boxes only are not acceptable)		City	Province/Territory/State	Postal code Country

Declaration of Beneficial Ownership in a Legal Entity

Corporation and Personal Holding / Non-Operating Private Company

Account Number

Are you a tax resident or citizen of the U.S.? ☐ No ☐ YesIf yes, you must provide your SSN/TIN and also complete a **W-9 Request for Taxpayer Identification Number and Certification** form.

Canadian SIN

United States SSN/TIN

Date of Birth (mm-dd-yyyy)

Are you (*individual or entity*) a tax resident of a jurisdiction other than Canada or the U.S.? ☐ No ☐ Yes If yes, list up to three jurisdictions and the Tax Identification Number (TIN). If you do not have a TIN for one of the below noted jurisdictions, please indicate one of the following reason codes:**Reason Code 1:** I will apply or have applied for a TIN, but have not yet received it (TIN is required within a year)**Reason Code 2:** My jurisdiction of tax residence does not issue TINs to its residents**Reason Code 3:** Other - Specify (TIN is required within a year)

1. _____ TIN _____ Reason Code _____ Other - specify _____

2. _____ TIN _____ Reason Code _____ Other - specify _____

3. _____ TIN _____ Reason Code _____ Other - specify _____

If the jurisdiction(s) of tax residency you listed above is one of the following countries please answer the 4 questions below.

Antigua and Barbuda, Bahamas, Bahrain, Barbados, Cyprus, Dominica, Grenada, Malta, Panama, Qatar, Saint Kitts and Nevis, Saint Lucia, Seychelles, Turks and Caicos Islands, United Arab Emirates and Vanuatu

1. Has the controlling person obtained residency rights under a Citizenship by Investment (CBI) or Residence by Investment (RBI) offering for this country?☐ No ☐ Yes. What Country? _____**2. Does the controlling person hold residence rights in any other jurisdiction?**☐ No ☐ Yes. What Country? _____**3. Has the controlling person spent more than 90 days in any jurisdiction during the previous year?**☐ No ☐ Yes. What Country? _____**4. In which jurisdictions has the controlling person filed personal income tax returns during the previous year?**☐ The controlling person has not filed personal taxes in another jurisdiction.☐ The controlling person has filed in. Name of Country _____**If you have answered "Yes" in this section, please verify that all the required countries and TINs have been provided.**

Employer name

What is your current position/occupation?

Are you a **deemed insider** (as defined in the Provincial Securities Act) of any public companies?☐ No ☐ Yes If yes, enter the company name(s):Are you in a **control position** (as defined in the Provincial Securities Act) of any public companies?☐ No ☐ Yes If yes, enter the company name(s):

ID type (Attach copy and for entity, please provide legal documents)

Reference number

Issuing jurisdiction and/or country

Expiry date (mm-dd-yyyy)

Indicate class of shares or other type of beneficial ownership interest

Ownership interest

%

Select Beneficial Owner type (If a Corporation or Personal Holding / Non-Operating Private Company is selected, **also complete section D**)☐ **Individual** ☐ **Partnership** ☐ **Written Trust** ☐ **Corporation** ☐ **Personal Holding / Non-Operating Private Company** ☐ **Estate**

First Name and middle initial

Last Name

Entity Name

Address (number, street, apartment, rural route) (P.O. Boxes only are not acceptable)

City

Province/Territory/State

Postal code

Country

Are you a tax resident or citizen of the U.S.? ☐ No ☐ YesIf yes, you must provide your SSN/TIN and also complete a **W-9 Request for Taxpayer Identification Number and Certification** form.

Canadian SIN

United States SSN/TIN

Date of Birth (mm-dd-yyyy)

Ownership Interest #4

Declaration of Beneficial Ownership in a Legal Entity

Corporation and Personal Holding / Non-Operating Private Company

Account Number

Are you (*individual or entity*) a tax resident of a jurisdiction other than Canada or the U.S.? ☐ No ☐ Yes If yes, list up to three jurisdictions and the Tax Identification Number (TIN).

If you do not have a TIN for one of the below noted jurisdictions, please indicate one of the following reason codes:

Reason Code 1: I will apply or have applied for a TIN, but have not yet received it (TIN is required within a year)

Reason Code 2: My jurisdiction of tax residence does not issue TINs to its residents

Reason Code 3: Other - Specify (TIN is required within a year)

1. _____	TIN _____	Reason Code _____	Other - specify _____
2. _____	TIN _____	Reason Code _____	Other - specify _____
3. _____	TIN _____	Reason Code _____	Other - specify _____

If the jurisdiction(s) of tax residency you listed above is one of the following countries please answer the 4 questions below.

Antigua and Barbuda, Bahamas, Bahrain, Barbados, Cyprus, Dominica, Grenada, Malta, Panama, Qatar, Saint Kitts and Nevis, Saint Lucia, Seychelles, Turks and Caicos Islands, United Arab Emirates and Vanuatu

1. Has the controlling person obtained residency rights under a Citizenship by Investment (CBI) or Residence by Investment (RBI) offering for this country?

☐ No ☐ Yes. What Country? _____

2. Does the controlling person hold residence rights in any other jurisdiction?

☐ No ☐ Yes. What Country? _____

3. Has the controlling person spent more than 90 days in any jurisdiction during the previous year?

☐ No ☐ Yes. What Country? _____

4. In which jurisdictions has the controlling person filed personal income tax returns during the previous year?

☐ The controlling person has not filed personal taxes in another jurisdiction.

☐ The controlling person has filed in. Name of Country _____

If you have answered "Yes" in this section, please verify that all the required countries and TINs have been provided.

Employer name _____	What is your current position/occupation? _____
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Are you a **deemed insider** (as defined in the Provincial Securities Act) of any public companies?

☐ No ☐ Yes If yes, enter the company name(s): _____

Are you in a **control position** (as defined in the Provincial Securities Act) of any public companies?

☐ No ☐ Yes If yes, enter the company name(s): _____

ID type (Attach copy and for entity, please provide legal documents)	Reference number	Issuing jurisdiction and/or country	Expiry date (mm-dd-yyyy)
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Indicate class of shares or other type of beneficial ownership interest	Ownership interest %
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D Complete this section if you have identified that a Corporation or Personal Holding / Non-Operating Private Company holds an ownership interest in section C

Indicate the corresponding number for the Beneficial Ownership Interest as indicated in Section C. Attach or forward evidence documents along with a copy of the completed form.

Where there are more beneficial owners, append additional SiT100A Declaration of Beneficial Ownership in a Legal Entity forms.

Ownership Interest Number (Relation to entity identified in Section C)	Indicate Beneficial Owner type <input type="radio"/> Individual <input type="radio"/> Entity
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First Name and middle initial	Last Name	Entity Name
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Address (number, street, apartment, rural route) (P.O. Boxes only are not acceptable)	City	Province/Territory/State	Postal code	Country
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Are you a tax resident or citizen of the U.S.? ☐ No ☐ Yes

If yes, you must provide your SSN/TIN and also complete a **W-9 Request for Taxpayer Identification Number and Certification** form.

Canadian SIN	United States SSN/TIN	Date of Birth (mm-dd-yyyy)
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Are you (*individual or entity*) a tax resident of a jurisdiction other than Canada or the U.S.? ☐ No ☐ Yes If yes, list up to three jurisdictions and the Tax Identification Number (TIN).

If you do not have a TIN for one of the below noted jurisdictions, please indicate one of the following reason codes:

Reason Code 1: I will apply or have applied for a TIN, but have not yet received it (TIN is required within a year)

Reason Code 2: My jurisdiction of tax residence does not issue TINs to its residents

Reason Code 3: Other - Specify (TIN is required within a year)

1. _____	TIN _____	Reason Code _____	Other - specify _____
2. _____	TIN _____	Reason Code _____	Other - specify _____
3. _____	TIN _____	Reason Code _____	Other - specify _____

Declaration of Beneficial Ownership in a Legal Entity

Corporation and Personal Holding / Non-Operating Private Company

Account Number

If the jurisdiction(s) of tax residency you listed above is one of the following countries please answer the 4 questions below.

Antigua and Barbuda, Bahamas, Bahrain, Barbados, Cyprus, Dominica, Grenada, Malta, Panama, Qatar, Saint Kitts and Nevis, Saint Lucia, Seychelles, Turks and Caicos Islands, United Arab Emirates and Vanuatu

1. Has the controlling person obtained residency rights under a Citizenship by Investment (CBI) or Residence by Investment (RBI) offering for this country?

☐ No ☐ Yes. What Country? _____

2. Does the controlling person hold residence rights in any other jurisdiction?

☐ No ☐ Yes. What Country? _____

3. Has the controlling person spent more than 90 days in any jurisdiction during the previous year?

☐ No ☐ Yes. What Country? _____

4. In which jurisdictions has the controlling person filed personal income tax returns during the previous year?

☐ The controlling person has not filed personal taxes in another jurisdiction.

☐ The controlling person has filed in. Name of Country _____

If you have answered "Yes" in this section, please verify that all the required countries and TINs have been provided.

Employer name	What is your current position/occupation?
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Are you a **deemed insider** (as defined in the Provincial Securities Act) of any public companies?

☐ No ☐ Yes If yes, enter the company name(s): _____

Are you in a **control position** (as defined in the Provincial Securities Act) of any public companies?

☐ No ☐ Yes If yes, enter the company name(s): _____

ID type (Attach copy and for entity, please provide legal documents)	Reference number	Issuing jurisdiction and/or country	Expiry date (mm-dd-yyyy)
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Indicate class of shares or other type of beneficial ownership interest	Ownership interest %
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Ownership Interest Number (Relation to entity identified in Section C)	Indicate Beneficial Owner type <input type="radio"/> Individual <input type="radio"/> Entity
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First Name and middle initial	Last Name	Entity Name
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Address (number, street, apartment, rural route) (P.O. Boxes only are not acceptable)	City	Province/Territory/State	Postal code	Country
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Are you a tax resident or citizen of the U.S.? ☐ No ☐ Yes

If yes, you must provide your SSN/TIN and also complete a **W-9 Request for Taxpayer Identification Number and Certification** form.

Canadian SIN	United States SSN/TIN	Date of Birth (mm-dd-yyyy)
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Are you (*individual or entity*) a tax resident of a jurisdiction other than Canada or the U.S.? ☐ No ☐ Yes If yes, list up to three jurisdictions and the Tax Identification Number (TIN).

If you do not have a TIN for one of the below noted jurisdictions, please indicate one of the following reason codes:

Reason Code 1: I will apply or have applied for a TIN, but have not yet received it (TIN is required within a year)

Reason Code 2: My jurisdiction of tax residence does not issue TINs to its residents

Reason Code 3: Other - Specify (TIN is required within a year)

1. _____	TIN _____	Reason Code ____	Other - specify _____
2. _____	TIN _____	Reason Code ____	Other - specify _____
3. _____	TIN _____	Reason Code ____	Other - specify _____

If the jurisdiction(s) of tax residency you listed above is one of the following countries please answer the 4 questions below.

Antigua and Barbuda, Bahamas, Bahrain, Barbados, Cyprus, Dominica, Grenada, Malta, Panama, Qatar, Saint Kitts and Nevis, Saint Lucia, Seychelles, Turks and Caicos Islands, United Arab Emirates and Vanuatu

1. Has the controlling person obtained residency rights under a Citizenship by Investment (CBI) or Residence by Investment (RBI) offering for this country?

☐ No ☐ Yes. What Country? _____

2. Does the controlling person hold residence rights in any other jurisdiction?

☐ No ☐ Yes. What Country? _____

3. Has the controlling person spent more than 90 days in any jurisdiction during the previous year?

☐ No ☐ Yes. What Country? _____

4. In which jurisdictions has the controlling person filed personal income tax returns during the previous year?

☐ The controlling person has not filed personal taxes in another jurisdiction.

☐ The controlling person has filed in. Name of Country _____

If you have answered "Yes" in this section, please verify that all the required countries and TINs have been provided.

Employer name	What is your current position/occupation?
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Declaration of Beneficial Ownership in a Legal Entity

Corporation and Personal Holding / Non-Operating Private Company

Account Number

Are you a deemed insider (as defined in the Provincial Securities Act) of any public companies? <input type="radio"/> No <input type="radio"/> Yes If yes, enter the company name(s):					
Are you in a control position (as defined in the Provincial Securities Act) of any public companies? <input type="radio"/> No <input type="radio"/> Yes If yes, enter the company name(s):					
ID type (Attach copy and for entity, please provide legal documents)		Reference number		Issuing jurisdiction and/or country	
				Expiry date (mm-dd-yyyy)	
Indicate class of shares or other type of beneficial ownership interest					Ownership interest %
Ownership Interest Number (Relation to entity identified in Section C)			Indicate Beneficial Owner type <input type="radio"/> Individual <input type="radio"/> Entity		
First Name and middle initial		Last Name		Entity Name	
Address (number, street, apartment, rural route) (P.O. Boxes only are not acceptable)			City	Province/Territory/State	Postal code
Are you a tax resident or citizen of the U.S.? <input type="radio"/> No <input type="radio"/> Yes If yes, you must provide your SSN/TIN and also complete a W-9 Request for Taxpayer Identification Number and Certification form.					
Canadian SIN		United States SSN/TIN		Date of Birth (mm-dd-yyyy)	
Are you (<i>individual or entity</i>) a tax resident of a jurisdiction other than Canada or the U.S.? <input type="radio"/> No <input type="radio"/> Yes If yes, list up to three jurisdictions and the Tax Identification Number (TIN). If you do not have a TIN for one of the below noted jurisdictions, please indicate one of the following reason codes: Reason Code 1: I will apply or have applied for a TIN, but have not yet received it (TIN is required within a year) Reason Code 2: My jurisdiction of tax residence does not issue TINs to its residents Reason Code 3: Other - Specify (TIN is required within a year)					
1. _____ TIN _____		Reason Code _____		Other - specify _____	
2. _____ TIN _____		Reason Code _____		Other - specify _____	
3. _____ TIN _____		Reason Code _____		Other - specify _____	
If the jurisdiction(s) of tax residency you listed above is one of the following countries please answer the 4 questions below. Antigua and Barbuda, Bahamas, Bahrain, Barbados, Cyprus, Dominica, Grenada, Malta, Panama, Qatar, Saint Kitts and Nevis, Saint Lucia, Seychelles, Turks and Caicos Islands, United Arab Emirates and Vanuatu					
1. Has the controlling person obtained residency rights under a Citizenship by Investment (CBI) or Residence by Investment (RBI) offering for this country? <input type="radio"/> No <input type="radio"/> Yes. What Country? _____					
2. Does the controlling person hold residence rights in any other jurisdiction? <input type="radio"/> No <input type="radio"/> Yes. What Country? _____					
3. Has the controlling person spent more than 90 days in any jurisdiction during the previous year? <input type="radio"/> No <input type="radio"/> Yes. What Country? _____					
4. In which jurisdictions has the controlling person filed personal income tax returns during the previous year? <input type="radio"/> The controlling person has not filed personal taxes in another jurisdiction. <input type="radio"/> The controlling person has filed in. Name of Country _____					
If you have answered "Yes" in this section, please verify that all the required countries and TINs have been provided.					
Employer name			What is your current position/occupation?		
Are you a deemed insider (as defined in the Provincial Securities Act) of any public companies? <input type="radio"/> No <input type="radio"/> Yes If yes, enter the company name(s):					
Are you in a control position (as defined in the Provincial Securities Act) of any public companies? <input type="radio"/> No <input type="radio"/> Yes If yes, enter the company name(s):					
ID type (Attach copy and for entity, please provide legal documents)		Reference number		Issuing jurisdiction and/or country	
				Expiry date (mm-dd-yyyy)	
Indicate class of shares or other type of beneficial ownership interest					Ownership interest %
Definition of Active and Passive Entity					
Active Entity <ul style="list-style-type: none">The entity is Non-U.S. and is not a financial institution;Entities for which less than 50% of the gross income for the preceding calendar year was passive income and less than 50% of the assets held by the entity during the preceding calendar year were assets that produced passive income;Corporations with shares that regularly trade on an established securities market;Government or international organizations or agencies thereof and registered charities.			Passive Entity <ul style="list-style-type: none">A passive entity is neither any entity that is neither a financial institution nor an active entity.Passive income is generally understood to include income from the mere holding of property, such as interest, dividends, rents and royalties.		

Declaration of Beneficial Ownership in a Legal Entity



Corporation and Personal Holding / Non-Operating Private Company

Account Number

E Certification (Signature(s) required)

The undersigned authorized person certifies and agrees that:

- The above is a full and complete disclosure of information with respect to the above account holder and to all natural persons, entities and directors holding a 25% or greater ownership interest (direct or indirect) in the above named account;
- We will maintain accurate up-to-date information respecting all beneficial owners by notifying Scotia Capital Inc. of material changes and provide confirmation and up-to-date information about beneficial owners as requested under the Proceeds of Crime (Money Laundering) and Terrorist Financing Act, Qualified Intermediary, Canadian Tax Regulations related to the Foreign Account Tax Compliance Act and Canadian Investment Regulatory Organization regulations, or by an external auditor of Scotia Capital Inc.
At the time of an external audit examination, we understand that we may be contacted by Scotia Capital Inc. and requested to provide to their auditors information on the current beneficial owners to validate that the current file at Scotia Capital Inc. is up-to-date and accurate, or to provide new information about beneficial owners as required, and agree to do so;
- The income to which this form relates is: (a) not effectively connected with the conduct of a trade or business in the United States, (b) effectively connected but is not subject to tax under an income tax treaty, or (c) the partner's share of a partnership's effectively connected income, and for broker transactions or barter exchanges, the beneficial owner is an exempt foreign person as defined in the instructions;
- We acknowledge that information contained in this form and information regarding my Scotia iTRADE Inc. account(s) (including information on account balances and payments received) may be reported to the Canada Revenue Agency (CRA), and that the CRA may provide the information to any additional country I have listed above as being a country in which I am a resident for tax purposes;
- The authorized person below has the capacity to sign this form for the beneficial owner(s) named on this form; and
- We will provide the required verification documents for this entity and the personal identity documents for authorized persons and individual beneficial owners and submit a new form within 30 days of such change in circumstances if any certification on this form becomes incorrect as required under current legislation and regulations.

 Signature of authorized person		Date (mm-dd-yyyy)
Name of authorized person	Position within organization (CEO, President, Secretary, Trustee, etc)	
 Signature of authorized person		Date (mm-dd-yyyy)
Name of authorized person	Position within organization (CEO, President, Secretary, Trustee, etc)	