


Tax Residency Self-Certification for Legal Entities

Account number

Information provided on this form will be used to comply with tax-related Canadian regulatory requirements. Failure to complete and return this form may result in your accounts being reportable to the relevant tax authorities.

A. Legal Entity Information			
Legal Entity Name (for account number above)			
Country of formation/incorporation			
Business address (number and street; apartment or suite number; do not use PO Box or "in care of" address)			
City	Province/state	Postal code/ZIP code	Country (do not abbreviate)

B. Tax residency of legal entity – Other Foreign Countries	
Is the legal entity a tax resident of a jurisdiction other than Canada and the U.S.?	
<input type="checkbox"/> No <input type="checkbox"/> Yes. Provide country name(s) and the legal entity's TIN(s) below. If you cannot provide a TIN, provide the appropriate No TIN Reason	
Code.	
Country: _____	TIN: _____ or No TIN Reason Code*: _____
Country: _____	TIN: _____ or No TIN Reason Code*: _____
Country: _____	TIN: _____ or No TIN Reason Code*: _____
*No TIN Reason Codes	
Code	No TIN Reason
A	I have applied or will apply for a TIN, but have not yet received it. I will provide it when I receive it.
B	The country of tax jurisdiction does not issue TINs
If none of the "No TIN Reason Codes" apply for missing TIN, please provide explanation:	

C. Certification and Undertaking			
As a representative authorized to sign on behalf of the legal entity, I:			
<ul style="list-style-type: none"> • Certify that the information provided on this form is correct and complete; • Authorize Scotia iTRADE to provide, directly or indirectly, to any relevant tax authorities or any party authorized to audit or conduct a similar review of Scotia iTRADE for tax purposes, the information contained in this form and/or a copy of this form and to disclose to such tax authorities or such party any additional information that Scotia iTRADE may have in its possession that is relevant to my qualification claimed on the basis of this certification; • Acknowledge that information contained in this form and information regarding my Scotia iTRADE accounts (including information on account balances and payments received) may be reported to Canadian tax authorities, and that those tax authorities may provide the information to any additional country I have listed above as being a country in which I am (or another party to the account is) a resident for tax purposes; and • Undertake to advise Scotia iTRADE immediately of any change in circumstances that causes the information contained herein to become incorrect and to provide Scotia iTRADE with a suitably updated Tax Residency Self-Certification form within 30 days of such change in circumstances. • Understand that it is my obligation to provide Scotia iTRADE any applicable TINs at the time they are requested. I understand that my failure to provide the applicable TIN(s) may result in my incurring regulatory fines, either directly or indirectly. 			
Signing Authority for the Legal Entity			
 Signature	Title	Print name	Date (mm-dd-yyyy)

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