

CRS - Controlling Persons Information for Directors and/or Senior Officers

Account number	Rep code
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Provide the information on all controlling persons (use additional sheets if there are more than 3).

A Account Information			
Legal Entity Name (for account number above)			
Director and/or Senior Officer 1			
Full name, including middle initial	Date of birth (mm-dd-yyyy)	Social Insurance Number (S.I.N.)	Controlling person type
Address (number, street, apartment, rural route) (P.O. boxes only are not acceptable)			
City	Province	Postal Code	Country (do not abbreviate)
Are you (<i>individual or entity</i>) a tax resident of a jurisdiction other than Canada or the U.S.? <input type="radio"/> No <input type="radio"/> Yes If yes, list up to three jurisdictions and the Tax Identification Number (TIN). If you do not have a TIN for one of the below noted jurisdictions, please indicate one of the following reason codes: Reason Code 1: I will apply or have applied for a TIN, but have not yet received it (TIN is required within a year) Reason Code 2: My jurisdiction of tax residence does not issue TINs to its residents Reason Code 3: Other - Specify (TIN is required within a year)			
1. _____	TIN _____	Reason Code ____	Other - specify _____
2. _____	TIN _____	Reason Code ____	Other - specify _____
3. _____	TIN _____	Reason Code ____	Other - specify _____
Director and/or Senior Officer 2			
Full name, including middle initial	Date of birth (mm-dd-yyyy)	Social Insurance Number (S.I.N.)	Controlling person type
Address (number, street, apartment, rural route) (P.O. boxes only are not acceptable)			
City	Province	Postal Code	Country (do not abbreviate)
Are you (<i>individual or entity</i>) a tax resident of a jurisdiction other than Canada or the U.S.? <input type="radio"/> No <input type="radio"/> Yes If yes, list up to three jurisdictions and the Tax Identification Number (TIN). If you do not have a TIN for one of the below noted jurisdictions, please indicate one of the following reason codes: Reason Code 1: I will apply or have applied for a TIN, but have not yet received it (TIN is required within a year) Reason Code 2: My jurisdiction of tax residence does not issue TINs to its residents Reason Code 3: Other - Specify (TIN is required within a year)			
1. _____	TIN _____	Reason Code ____	Other - specify _____
2. _____	TIN _____	Reason Code ____	Other - specify _____
3. _____	TIN _____	Reason Code ____	Other - specify _____
Director and/or Senior Officer 3			
Full name, including middle initial	Date of birth (mm-dd-yyyy)	Social Insurance Number (S.I.N.)	Controlling person type
Address (number, street, apartment, rural route) (P.O. boxes only are not acceptable)			
City	Province	Postal Code	Country (do not abbreviate)
Are you (<i>individual or entity</i>) a tax resident of a jurisdiction other than Canada or the U.S.? <input type="radio"/> No <input type="radio"/> Yes If yes, list up to three jurisdictions and the Tax Identification Number (TIN). If you do not have a TIN for one of the below noted jurisdictions, please indicate one of the following reason codes: Reason Code 1: I will apply or have applied for a TIN, but have not yet received it (TIN is required within a year) Reason Code 2: My jurisdiction of tax residence does not issue TINs to its residents Reason Code 3: Other - Specify (TIN is required within a year)			
1. _____	TIN _____	Reason Code ____	Other - specify _____
2. _____	TIN _____	Reason Code ____	Other - specify _____
3. _____	TIN _____	Reason Code ____	Other - specify _____

Original - Branch Copy - Client


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B Certification and Undertaking

As a representative authorized to sign on behalf of the legal entity, I:

- Certify that the information provided on this form is correct and complete;
- Authorize Scotia iTRADE to provide, directly or indirectly, to any relevant tax authorities or any party authorized to audit or conduct a similar review of Scotia iTRADE for tax purposes, the information contained in this form and/or a copy of this form and to disclose to such tax authorities or such party any additional information that Scotia iTRADE may have in its possession that is relevant to my qualification claimed on the basis of this certification;
- Acknowledge that information contained in this form and information regarding my Scotia iTRADE accounts (including information on account balances and payments received) may be reported to Canadian tax authorities, and that those tax authorities may provide the information to any additional country I have listed above as being a country in which I am (or another party to the account is) a resident for tax purposes; and
- Undertake to advise Scotia iTRADE immediately of any change in circumstances that causes the information contained herein to become incorrect and to provide Scotia iTRADE with a suitably updated Tax Residency Self-Certification form within 30 days of such change in circumstances.
- Understand that it is my obligation to provide Scotia iTRADE any applicable TINs at the time they are requested. I understand that my failure to provide the applicable TIN(s) may result in my incurring regulatory fines, either directly or indirectly.

Signing Authority for the Legal Entity

 Signature	Title	Print name	Date (mm-dd-yyyy)
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