

Account Number	Account Name
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Personal & Regulatory Information

Indicate your relationship to the account:

- Primary owner Power Of Attorney / Corporate Trading Authority
 Joint owner Executor Other: _____

INFORMATION ABOUT YOU

Scotiocard Number (Required for online access) **Mother's Maiden Surname** (Required for online access)
 If you do not have a Scotiocard, but access, please check box to have one issued. Send Scotiocard: Yes No

Title	First Name	Initial	Last Name
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Date of Birth (mm/dd/yyyy)	Country of Citizenship
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Social Insurance Number	SSN / TIN*
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*If you are a U.S citizen, a U.S dual citizen or are considered a "US Person", a Social Security Number (SSN) and W9 form are also required.

Are you (*individual or entity*) a tax resident of a jurisdiction other than Canada or the U.S.? No Yes If yes, list up to three jurisdictions and the Tax Identification Number (TIN).

If you do not have a TIN for one of the below noted jurisdictions, please indicate one of the following reason codes:

Reason Code 1: I will apply or have applied for a TIN, but have not yet received it (TIN is required within a year)

Reason Code 2: My jurisdiction of tax residence does not issue TINs to its residents

Reason Code 3: Other - Specify (TIN is required within a year)

- _____ TIN _____ Reason Code ____ Other - specify _____
- _____ TIN _____ Reason Code ____ Other - specify _____
- _____ TIN _____ Reason Code ____ Other - specify _____

RESIDENTIAL ADDRESS

Street Address / Legal Address (Address cannot be a post office box)	Apt/Suite No.
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Additional Address Information

City	Province	Postal Code
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Home Phone Number	Business Phone Number	Ext.
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Cell Phone Number	Primary Email Address
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Home Business

Which number would you prefer we use to contact you during market hours? Home Business Cell

EMPLOYMENT INFORMATION

Employment Status

- Employed Retired* Student Self-Employed Homemaker Not Working Other

* If Retired, we require previous employment information

Employer	Industry
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Position / Occupation	Years with this Employer
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Employer's Address

City	Province	Postal Code
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Personal & Regulatory Information

EMPLOYMENT INFORMATION CONTINUED

Are you employed by the Scotiabank Group? Yes No

If yes, specify _____

Are you an Insider of Scotiabank or have you been advised that you are a Designated Person by Scotiabank's Compliance Department? Yes No

Are you or members of your household employed by an IIROC (Investment Industry Regulatory Organization of Canada) Member firm (Pro)? Yes No

Note: Certain conditions may apply to accounts for employees of firms in the securities industry and accounts over which such persons have trading authority.

Annual Income

- Under \$25,000
 \$25,000 to \$50,999
 \$51,000 to \$74,999
 \$75,000 to \$99,999
 \$100,000 to \$149,999
 \$150,000 to \$200,000
 Over \$200,000, Specify _____

Net Worth

Net Liquid Assets _____ A (Cash/securities less current liabilities)

Fixed Assets _____ B (Fixed assets less loans against fixed assets)

Total Net Worth _____ (A + B)

Intended Use/Purpose of Account

Select one of the following to indicate Intended Use / Purpose of the Account:

- Short Term Investment Savings (Registered and Non-Registered)
 Long Term Investment Retirement Planning, Estate / Tax Planning
 Income Generation Leverage, Hedging, Capital Preservation, Trusts, Protection of Assets
 Custody of Securities Other (Detailed description is mandatory): _____

Have you Owned or Traded?

Select your level of knowledge.

- Mutual Funds Low Moderate High
 Fixed Income (Other Than CSBs) Low Moderate High
 Stocks Low Moderate High
 Margin Low Moderate High
 Options Low Moderate High
 Short Sales Low Moderate High
 Overall Investment Experience Low Moderate High

INFORMATION REQUIRED BY SECURITIES REGULATORS AND COMPLIANCE

Are you or your spouse considered to be an Insider (as defined in a Provincial Securities Act) of any public companies?

Yes No If yes, what is the name of the company(ies)? _____

Are you, or your spouse, singularly, or as part of a group, in a Control Position (as defined in a Provincial Securities Act) of any public companies?

Yes No If yes, what is the name of the company(ies)? _____

Are you, or your spouse an employee, Director, Partner or Officer of a member of any Stock Exchange, IIROC Member firm or of a Stock Exchange itself?

Yes No If yes, what is the name of the company(ies)? _____

Do you own, or have trading authority or an interest in another Scotia iTRADE Account?

Yes No If yes, what is the account number(s)? _____

Do you own, or have trading authority over any other accounts with another securities firm?

Yes No If yes, what is the Name Of The Securities Firm(s)? _____

Are you in a control position in a Marijuana related business?

Yes No

Account Number	Account Name
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Personal & Regulatory Information

Do you or any members of your family or any close associates, currently hold or have held one of the following offices or positions? If yes, choose the office or position below:

No Yes If yes, choose the office or position below:

- | | |
|--|---|
| <input type="checkbox"/> Head of Institute | <input type="checkbox"/> Mayor of a Canadian Municipality |
| <input type="checkbox"/> Member of a ruling family | <input type="checkbox"/> Head of a government agency |
| <input type="checkbox"/> Member of an executive council of government | <input type="checkbox"/> President of a state-owned company or bank |
| <input type="checkbox"/> Deputy Minister (or equivalent) | <input type="checkbox"/> Head of an international organization established by the governments of states |
| <input type="checkbox"/> Military rank of general or equivalent (or higher rank) | <input type="checkbox"/> Leader or president of a political party in a legislature |
| <input type="checkbox"/> Judge of a supreme court | <input type="checkbox"/> Head of a charity |
| <input type="checkbox"/> Ambassador | <input type="checkbox"/> Head of State |
| <input type="checkbox"/> Counselor of an ambassador | <input type="checkbox"/> Head of Government |
| <input type="checkbox"/> Judge of an appellate court or local equivalent | <input type="checkbox"/> Attaché |
| <input type="checkbox"/> Member of a legislature | |

TITLE	FIRST NAME	MIDDLE INITIAL	LAST NAME
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RELATION TO YOU

- SELF CHILD CLOSE ASSOCIATE PARENT(S) SIBLING(S) SPOUSE OR COMMON LAW PARTNER
 SPOUSE OR COMMON LAW PARTNER'S PARENT(S)

DATE(S) OF POSITION HELD (FROM MM-DD-YYYY TO MM-DD-YYYY)

COUNTRY WHERE POSITION HELD

DESCRIPTION OF OFFICIAL DUTIES

MARITAL STATUS

- Single Married Common Law Divorced Legally Separated Widowed

INFORMATION ABOUT YOUR SPOUSE

Title	First Name	Initial	Last Name
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EMPLOYMENT STATUS OF YOUR SPOUSE

- Employed Retired Student Self-Employed Homemaker Not Working Other

Employer

Industry

Position / Occupation



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Personal & Regulatory Information

IDENTIFICATION REQUIREMENTS

Type of Identification Document (select one)

- Driver's licence Prov. Health Insurance Card (Except ON, MB, NS, PEI) Canadian Citizenship Card
 Age of Majority Card Passport

Identification Document Number

Please include photo identification and a completed Identity Verification (SiT200) form when submitting this form to Scotia iTRADE.

Signature

Date
