

Rep code

Declaration of Beneficial Ownership in a Legal Entity

Investment Club, Partnership, Retirement Compensation Arrangement and Written Trust
Identify and document all Settlor(s) / Grantor(s), Trustee(s), Beneficiaries, Club Members and Partners.

Total ownership interest must equal 100%.

Append SiT100T pages to accommodate additional Settlor(s)/Grantor(s), Trustee(s), Beneficiaries, Club Members and Partners.

The information requested in this form is in compliance with regulatory requirements.

Account Number	Account Number
Account Number	Account Number
Account Number	Account Number

A Account Information

Legal Entity name (for account number above)	Legal Entity name (Beneficial Owner Name from the SiT100A form)
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Account type and classification (Select one) (Information must match what is on application)

Investment Club (complete section D for all club members)

Partnership (complete section D for all partners)
 Is the partnership an **Active Entity** or a **Passive Entity** (see **Definition of Active and Passive Entity** below)

Retirement Compensation Arrangement (RCA) (complete section B - "settlor/grantor and beneficiary same individual" and section C)
 Is the RCA an **Active Entity** or a **Passive Entity** (see **Definition of Active and Passive Entity** below)

Estate (complete section B and C only)

Written Trust (complete section B / C / D as applicable)
 Complex Trust **Grantor Trust** **Simple Trust** **U.S. Trust** (also complete a **W-9 Request for Taxpayer Identification and Certification** form)
 Is the trust an **Active Entity** or a **Passive Entity** (see **Definition of Active and Passive Entity** below – **not applicable for U.S. Trust**)
 Is this Written Trust a widely held or Publicly Traded Trust Yes No
 Is the entity a tax resident of the U.S. No Yes (Not applicable for Investment Club) If yes, also complete a **W-9 Request for Taxpayer Identification and Certification** form.
 Does the legal entity have an association or connection with a Politically Exposed Person ("PEP") defined as any entity that has been formed by a PEP, or for the benefit of a PEP, or controlled by a PEP or is beneficially owned by a defined PEP? No Yes **If yes, please complete section F.**

Definition of Active and Passive Entity

<p>Active Entity</p> <ul style="list-style-type: none"> The entity is Non-U.S. and is not a financial institution; Entities for which less than 50% of the gross income for the preceding calendar year was passive income and less than 50% of the assets held by the entity during the preceding calendar year were assets that produced passive income; Corporations with shares that regularly trade on an established securities market; Government or international organizations or agencies thereof and registered charities. 	<p>Passive Entity</p> <ul style="list-style-type: none"> A passive entity is any entity that is neither a financial institution nor an active entity. Passive income is generally understood to include income from the mere holding of property, such as interest, dividends, rents and royalties.
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B Fully identify and document the following individual(s):

- Settlor(s)/Grantor(s). (**also complete sections C – for trustees and D – for beneficiaries**) (Settlor/Grantor being the person who establishes the trust)
- Settlor(s)/Grantor(s) and Beneficiary(ies) same individual or entity. (**also complete section C – for trustees**) (Beneficiary being the recipient of the assets of the trust)
- Settlor(s)/Grantor(s) and Trustee(s) same Individual or entity. (**also complete section D – for beneficiaries**) (Trustee manages the trust)
- Settlor(s)/Grantor(s), Beneficiary(ies) and Trustee(s) same individual or entity. (**complete section B only**)

Select one (please note that for RCA's the only acceptable option is Settlor/Grantor and Beneficiary same individual)

Settlor/Grantor **Settlor/Grantor and Beneficiary same individual** **Settlor/Grantor and Trustee same individual**
 Settlor/Grantor, Beneficiary and Trustee same individual

First name and middle initial	Last name			
Address (number, street, apartment, rural route) (P.O. Boxes only are not acceptable)	City	Province/Territory	Postal code	Country

Are you a tax resident or citizen of the U.S.? No Yes

If yes, you must provide your SSN/TIN and also complete a **W-9 Request for Taxpayer Identification Number and Certification** form.

Canadian SIN	United States SSN/TIN	Date of Birth (mm-dd-yyyy)
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Are you (individual or entity) a tax resident of a jurisdiction other than Canada or the U.S.? No Yes If yes, list up to three jurisdictions and the Tax Identification Number (TIN).
 If you do not have a TIN for one of the below noted jurisdictions, please indicate one of the following reason codes:

Reason Code 1: I will apply or have applied for a TIN, but have not yet received it (TIN is required within a year)

Reason Code 2: My jurisdiction of tax residence does not issue TINs to its residents

Reason Code 3: Other - Specify (TIN is required within a year)

1. _____ TIN _____ Reason Code ____ Other - specify _____
2. _____ TIN _____ Reason Code ____ Other - specify _____
3. _____ TIN _____ Reason Code ____ Other - specify _____

If the jurisdiction(s) of tax residency you listed above is one of the following countries please answer the 4 questions below.

Antigua and Barbuda, Bahamas, Bahrain, Barbados, Cyprus, Dominica, Grenada, Malta, Panama, Qatar, Saint Kitts and Nevis, Saint Lucia, Seychelles, Turks and Caicos Islands, United Arab Emirates and Vanuatu.

1. Has the controlling person obtained residency rights under a Citizenship by Investment (CBI) or Residence by Investment (RBI) offering for this country?

No Yes. What Country? _____

2. Does the controlling person hold residence rights in any other jurisdiction?

No Yes. What Country? _____

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Account Number	Account Number
Account Number	Account Number
Account Number	Account Number

3. Has the controlling person spent more than 90 days in any jurisdiction during the previous year?

No Yes. What Country? _____

4. In which jurisdictions has the controlling person filed personal income tax returns during the previous year?

The controlling person has not filed personal taxes in another jurisdiction.
 The controlling person has filed in. Name of Country _____

If you have answered "Yes" in this section, please verify that all the required countries and TINs have been provided.

Employer name	What is your current position/occupation?
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Are you a **deemed insider** (as defined in the Provincial Securities Act) of any public companies?

No Yes If yes, enter the company name(s): _____

Are you in a **control position** (as defined in the Provincial Securities Act) of any public companies?

No Yes If yes, enter the company name(s): _____

Are you a **PEP**? Please see section F for definition of a PEP.

No Yes **If yes, please complete section F.**

ID type (Attach copy and for entity, please provide legal documents)	Reference number	Issuing jurisdiction and/or country	Expiry date (mm-dd-yyyy)
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Indicate class of shares or other type of beneficial ownership interest	Ownership interest %
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Select one (please note that for RCA's the only acceptable option is Settlor/Grantor and Beneficiary same individual)

Settlor/Grantor Settlor/Grantor and Beneficiary same individual Settlor/Grantor and Trustee same individual

Settlor/Grantor, Beneficiary and Trustee same individual

First name and middle initial	Last name
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Address (number, street, apartment, rural route) (P.O. Boxes only are not acceptable)	City	Province/Territory	Postal code	Country
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Are you a tax resident or citizen of the U.S.? No Yes

If yes, you must provide your SSN/TIN and also complete a **W-9 Request for Taxpayer Identification Number and Certification** form.

Canadian SIN	United States SSN/TIN	Date of Birth (mm-dd-yyyy)
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Are you (*individual or entity*) a tax resident of a jurisdiction other than Canada or the U.S.? No Yes If yes, list up to three jurisdictions and the Tax Identification Number (TIN).

If you do not have a TIN for one of the below noted jurisdictions, please indicate one of the following reason codes:

Reason Code 1: I will apply or have applied for a TIN, but have not yet received it (TIN is required within a year)

Reason Code 2: My jurisdiction of tax residence does not issue TINs to its residents

Reason Code 3: Other - Specify (TIN is required within a year)

1. _____ TIN _____ Reason Code ____ Other - specify _____
2. _____ TIN _____ Reason Code ____ Other - specify _____
3. _____ TIN _____ Reason Code ____ Other - specify _____

If the jurisdiction(s) of tax residency you listed above is one of the following countries please answer the 4 questions below.

Antigua and Barbuda, Bahamas, Bahrain, Barbados, Cyprus, Dominica, Grenada, Malta, Panama, Qatar, Saint Kitts and Nevis, Saint Lucia, Seychelles, Turks and Caicos Islands, United Arab Emirates and Vanuatu.

1. Has the controlling person obtained residency rights under a Citizenship by Investment (CBI) or Residence by Investment (RBI) offering for this country?

No Yes. What Country? _____

2. Does the controlling person hold residence rights in any other jurisdiction?

No Yes. What Country? _____

3. Has the controlling person spent more than 90 days in any jurisdiction during the previous year?

No Yes. What Country? _____

4. In which jurisdictions has the controlling person filed personal income tax returns during the previous year?

The controlling person has not filed personal taxes in another jurisdiction.
 The controlling person has filed in. Name of Country _____

If you have answered "Yes" in this section, please verify that all the required countries and TINs have been provided.

Employer name	What is your current position/occupation?
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Declaration of Beneficial Ownership in a Legal Entity

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Account Number	Account Number
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Account Number	Account Number

Are you a **deemed insider** (as defined in the Provincial Securities Act) of any public companies?
 No Yes If yes, enter the company name(s):

Are you in a **control position** (as defined in the Provincial Securities Act) of any public companies?
 No Yes If yes, enter the company name(s):

Are you a **PEP**? Please see section F for definition of a PEP.
 No Yes **If yes, please complete section F.**

ID type (Attach copy and for entity, please provide legal documents)	Reference number	Issuing jurisdiction and/or country	Expiry date (mm-dd-yyyy)
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Indicate class of shares or other type of beneficial ownership interest **Ownership interest**
%

C Fully identify and document the following:
i. Trustee(s) (Individual)
ii. Trustee(s) and Beneficiary(ies) same Individual(s)
iii. Corporate Trustee (**Banks and/or trust companies. Complete 'Entity Name' and 'Evidence attached' sections only.**)

Select one **Trustee (Individual)** **Trustee and Beneficiary same Individual** **Corporate Trustee (bank or trust company)**

First name and middle initial Last Name / Entity name

Address (number, street, apartment, rural route) (P.O. Boxes only are not acceptable) City Province/Territory Postal code Country

Are you a tax resident or citizen of the U.S.? No Yes
If yes, you must provide your SSN/TIN and also complete a **W-9 Request for Taxpayer Identification Number and Certification** form.

Canadian SIN United States SSN/TIN Date of Birth (mm-dd-yyyy)

Are you (*individual or entity*) a tax resident of a jurisdiction other than Canada or the U.S.? No Yes If yes, list up to three jurisdictions and the Tax Identification Number (TIN).
If you do not have a TIN for one of the below noted jurisdictions, please indicate one of the following reason codes:

Reason Code 1: I will apply or have applied for a TIN, but have not yet received it (TIN is required within a year)
Reason Code 2: My jurisdiction of tax residence does not issue TINs to its residents
Reason Code 3: Other - Specify (TIN is required within a year)
1. _____ TIN _____ Reason Code ____ Other - specify _____
2. _____ TIN _____ Reason Code ____ Other - specify _____
3. _____ TIN _____ Reason Code ____ Other - specify _____

If the jurisdiction(s) of tax residency you listed above is one of the following countries please answer the 4 questions below.
Antigua and Barbuda, Bahamas, Bahrain, Barbados, Cyprus, Dominica, Grenada, Malta, Panama, Qatar, Saint Kitts and Nevis, Saint Lucia, Seychelles, Turks and Caicos Islands, United Arab Emirates and Vanuatu.

- Has the controlling person obtained residency rights under a Citizenship by Investment (CBI) or Residence by Investment (RBI) offering for this country?**
 No Yes. What Country? _____
- Does the controlling person hold residence rights in any other jurisdiction?**
 No Yes. What Country? _____
- Has the controlling person spent more than 90 days in any jurisdiction during the previous year?**
 No Yes. What Country? _____
- In which jurisdictions has the controlling person filed personal income tax returns during the previous year?**
 The controlling person has not filed personal taxes in another jurisdiction.
 The controlling person has filed in. Name of Country _____

If you have answered "Yes" in this section, please verify that all the required countries and TINs have been provided.

Employer name What is your current position/occupation?

Are you a **deemed insider** (as defined in the Provincial Securities Act) of any public companies?
 No Yes If yes, enter the company name(s):

Are you in a **control position** (as defined in the Provincial Securities Act) of any public companies?
 No Yes If yes, enter the company name(s):

Are you a **PEP**? Please see section F for definition of a PEP.
 No Yes **If yes, please complete section F.**

Declaration of Beneficial Ownership in a Legal Entity

Investment Club, Partnership, Retirement Compensation Arrangement and Written Trust

Rep code

Account Number	Account Number
Account Number	Account Number
Account Number	Account Number

ID type (Attach copy and for entity, please provide legal documents)	Reference number	Issuing jurisdiction and/or country	Expiry date (mm-dd-yyyy)
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Indicate class of shares or other type of beneficial ownership interest	Ownership interest %
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Select one **Trustee (Individual)** **Trustee and Beneficiary same Individual** **Corporate Trustee (bank or trust company)**

First name and middle initial	Last Name / Entity name
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Address (number, street, apartment, rural route) (P.O. Boxes only are not acceptable)	City	Province/Territory	Postal code	Country
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Are you a tax resident or citizen of the U.S.? No Yes
 If yes, you must provide your SSN/TIN and also complete a **W-9 Request for Taxpayer Identification Number and Certification** form.

Canadian SIN	United States SSN/TIN	Date of Birth (mm-dd-yyyy)
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Are you (*individual or entity*) a tax resident of a jurisdiction other than Canada or the U.S.? No Yes If yes, list up to three jurisdictions and the Tax Identification Number (TIN).
 If you do not have a TIN for one of the below noted jurisdictions, please indicate one of the following reason codes:

- Reason Code 1:** I will apply or have applied for a TIN, but have not yet received it (TIN is required within a year)
 - Reason Code 2:** My jurisdiction of tax residence does not issue TINs to its residents
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If the jurisdiction(s) of tax residency you listed above is one of the following countries please answer the 4 questions below.

Antigua and Barbuda, Bahamas, Bahrain, Barbados, Cyprus, Dominica, Grenada, Malta, Panama, Qatar, Saint Kitts and Nevis, Saint Lucia, Seychelles, Turks and Caicos Islands, United Arab Emirates and Vanuatu.

- 1. Has the controlling person obtained residency rights under a Citizenship by Investment (CBI) or Residence by Investment (RBI) offering for this country?**
 No Yes. What Country? _____
- 2. Does the controlling person hold residence rights in any other jurisdiction?**
 No Yes. What Country? _____
- 3. Has the controlling person spent more than 90 days in any jurisdiction during the previous year?**
 No Yes. What Country? _____
- 4. In which jurisdictions has the controlling person filed personal income tax returns during the previous year?**
 The controlling person has not filed personal taxes in another jurisdiction.
 The controlling person has filed in. Name of Country _____

If you have answered "Yes" in this section, please verify that all the required countries and TINs have been provided.

Employer name	What is your current position/occupation?
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Are you a **deemed insider** (as defined in the Provincial Securities Act) of any public companies?
 No Yes If yes, enter the company name(s): _____

Are you in a **control position** (as defined in the Provincial Securities Act) of any public companies?
 No Yes If yes, enter the company name(s): _____

Are you a **PEP**? Please see section F for definition of a PEP.
 No Yes **If yes, please complete section F.**

ID type (Attach copy and for entity, please provide legal documents)	Reference number	Issuing jurisdiction and/or country	Expiry date (mm-dd-yyyy)
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Indicate class of shares or other type of beneficial ownership interest	Ownership interest %
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Select one **Trustee (Individual)** **Trustee and Beneficiary same Individual** **Corporate Trustee (bank or trust company)**

First name and middle initial	Last Name / Entity name
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Declaration of Beneficial Ownership in a Legal Entity

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Account Number	Account Number

Address (number, street, apartment, rural route) (P.O. Boxes only are not acceptable)	City	Province/Territory	Postal code	Country
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Are you a tax resident or citizen of the U.S.? No Yes
 If yes, you must provide your SSN/TIN and also complete a **W-9 Request for Taxpayer Identification Number and Certification** form.

Canadian SIN	United States SSN/TIN	Date of Birth (mm-dd-yyyy)
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Are you (*individual or entity*) a tax resident of a jurisdiction other than Canada or the U.S.? No Yes If yes, list up to three jurisdictions and the Tax Identification Number (TIN).
 If you do not have a TIN for one of the below noted jurisdictions, please indicate one of the following reason codes:

- Reason Code 1:** I will apply or have applied for a TIN, but have not yet received it (TIN is required within a year)
- Reason Code 2:** My jurisdiction of tax residence does not issue TINs to its residents
- Reason Code 3:** Other - Specify (TIN is required within a year)

1. _____ TIN _____ Reason Code ____ Other - specify _____
2. _____ TIN _____ Reason Code ____ Other - specify _____
3. _____ TIN _____ Reason Code ____ Other - specify _____

If the jurisdiction(s) of tax residency you listed above is one of the following countries please answer the 4 questions below.

Antigua and Barbuda, Bahamas, Bahrain, Barbados, Cyprus, Dominica, Grenada, Malta, Panama, Qatar, Saint Kitts and Nevis, Saint Lucia, Seychelles, Turks and Caicos Islands, United Arab Emirates and Vanuatu.

- Has the controlling person obtained residency rights under a Citizenship by Investment (CBI) or Residence by Investment (RBI) offering for this country?**
 No Yes. What Country? _____
- Does the controlling person hold residence rights in any other jurisdiction?**
 No Yes. What Country? _____
- Has the controlling person spent more than 90 days in any jurisdiction during the previous year?**
 No Yes. What Country? _____
- In which jurisdictions has the controlling person filed personal income tax returns during the previous year?**
 The controlling person has not filed personal taxes in another jurisdiction.
 The controlling person has filed in. Name of Country _____

If you have answered "Yes" in this section, please verify that all the required countries and TINs have been provided.

Employer name	What is your current position/occupation?
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Are you a **deemed insider** (as defined in the Provincial Securities Act) of any public companies?
 No Yes If yes, enter the company name(s): _____

Are you in a **control position** (as defined in the Provincial Securities Act) of any public companies?
 No Yes If yes, enter the company name(s): _____

Are you a **PEP**? Please see section F for definition of a PEP.
 No Yes **If yes, please complete section F.**

ID type (Attach copy and for entity, please provide legal documents)	Reference number	Issuing jurisdiction and/or country	Expiry date (mm-dd-yyyy)
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Indicate class of shares or other type of beneficial ownership interest	Ownership interest %
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D Fully identify and document the following individual(s) and/or legal entity:

- i. Beneficiary(ies)
- ii. Club Member(s)
- iii. Partner(s)

Select one **Beneficiary (if Written Trust)** **Club Member (if Investment Club)** **Partner (if Partnership)**

Select one **Individual** **Partnership*** **Written Trust* (*also complete section E)**
 Corporation** **Personal Holding**** **Non-Operating Private Company** (**also complete a SiT100A)**

Ownership Interest #1	First name and middle initial	Last Name / Entity name			
	Address (number, street, apartment, rural route) (P.O. Boxes only are not acceptable)	City	Province/Territory	Postal code	Country
	Are you a tax resident or citizen of the U.S.? <input type="radio"/> No <input type="radio"/> Yes If yes, you must provide your SSN/TIN and also complete a W-9 Request for Taxpayer Identification Number and Certification form.				

Declaration of Beneficial Ownership in a Legal Entity

Investment Club, Partnership, Retirement Compensation Arrangement and Written Trust

Rep code

Account Number	Account Number
Account Number	Account Number
Account Number	Account Number

Canadian SIN	United States SSN/TIN	Date of Birth (mm-dd-yyyy)
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Are you (*individual or entity*) a tax resident of a jurisdiction other than Canada or the U.S.? No Yes If yes, list up to three jurisdictions and the Tax Identification Number (TIN).
 If you do not have a TIN for one of the below noted jurisdictions, please indicate one of the following reason codes:

Reason Code 1: I will apply or have applied for a TIN, but have not yet received it (TIN is required within a year)

Reason Code 2: My jurisdiction of tax residence does not issue TINs to its residents

Reason Code 3: Other - Specify (TIN is required within a year)

1. _____ TIN _____ Reason Code ____ Other - specify _____
2. _____ TIN _____ Reason Code ____ Other - specify _____
3. _____ TIN _____ Reason Code ____ Other - specify _____

If the jurisdiction(s) of tax residency you listed above is one of the following countries please answer the 4 questions below.

Antigua and Barbuda, Bahamas, Bahrain, Barbados, Cyprus, Dominica, Grenada, Malta, Panama, Qatar, Saint Kitts and Nevis, Saint Lucia, Seychelles, Turks and Caicos Islands, United Arab Emirates and Vanuatu.

1. Has the controlling person obtained residency rights under a Citizenship by Investment (CBI) or Residence by Investment (RBI) offering for this country?

No Yes. What Country? _____

2. Does the controlling person hold residence rights in any other jurisdiction?

No Yes. What Country? _____

3. Has the controlling person spent more than 90 days in any jurisdiction during the previous year?

No Yes. What Country? _____

4. In which jurisdictions has the controlling person filed personal income tax returns during the previous year?

The controlling person has not filed personal taxes in another jurisdiction.

The controlling person has filed in. Name of Country _____

If you have answered "Yes" in this section, please verify that all the required countries and TINs have been provided.

Employer name	What is your current position/occupation?
---------------	---

Are you a **deemed insider** (as defined in the Provincial Securities Act) of any public companies?

No Yes If yes, enter the company name(s):

Are you in a **control position** (as defined in the Provincial Securities Act) of any public companies?

No Yes If yes, enter the company name(s):

Are you a **PEP**? Please see section F for definition of a PEP.

No Yes **If yes, please complete section F.**

ID type (Attach copy and for entity, please provide legal documents)	Reference number	Issuing jurisdiction and/or country	Expiry date (mm-dd-yyyy)
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Indicate class of shares or other type of beneficial ownership interest	Ownership interest %
---	--------------------------------

Select one **Beneficiary (if Written Trust)** **Club Member (if Investment Club)** **Partner (if Partnership)**

Select one **Individual** **Partnership*** **Written Trust* (*also complete section E)**
 Corporation** **Personal Holding**** **Non-Operating Private Company** (**also complete a SiT100A)**

Ownership Interest #2	First name and middle initial	Last Name / Entity name			
	Address (number, street, apartment, rural route) (P.O. Boxes only are not acceptable)	City	Province/Territory	Postal code	Country

Are you a tax resident or citizen of the U.S.? No Yes

If yes, you must provide your SSN/TIN and also complete a **W-9 Request for Taxpayer Identification Number and Certification** form.

Canadian SIN	United States SSN/TIN	Date of Birth (mm-dd-yyyy)
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Declaration of Beneficial Ownership in a Legal Entity

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Account Number	Account Number
Account Number	Account Number
Account Number	Account Number

Are you (*individual or entity*) a tax resident of a jurisdiction other than Canada or the U.S.? No Yes If yes, list up to three jurisdictions and the Tax Identification Number (TIN). If you do not have a TIN for one of the below noted jurisdictions, please indicate one of the following reason codes:

Reason Code 1: I will apply or have applied for a TIN, but have not yet received it (TIN is required within a year)

Reason Code 2: My jurisdiction of tax residence does not issue TINs to its residents

Reason Code 3: Other - Specify (TIN is required within a year)

- 1. _____ TIN _____ Reason Code ____ Other - specify _____
- 2. _____ TIN _____ Reason Code ____ Other - specify _____
- 3. _____ TIN _____ Reason Code ____ Other - specify _____

If the jurisdiction(s) of tax residency you listed above is one of the following countries please answer the 4 questions below.

Antigua and Barbuda, Bahamas, Bahrain, Barbados, Cyprus, Dominica, Grenada, Malta, Panama, Qatar, Saint Kitts and Nevis, Saint Lucia, Seychelles, Turks and Caicos Islands, United Arab Emirates and Vanuatu.

- Has the controlling person obtained residency rights under a Citizenship by Investment (CBI) or Residence by Investment (RBI) offering for this country?**
 No Yes. What Country? _____
- Does the controlling person hold residence rights in any other jurisdiction?**
 No Yes. What Country? _____
- Has the controlling person spent more than 90 days in any jurisdiction during the previous year?**
 No Yes. What Country? _____
- In which jurisdictions has the controlling person filed personal income tax returns during the previous year?**
 The controlling person has not filed personal taxes in another jurisdiction.
 The controlling person has filed in. Name of Country _____

If you have answered "Yes" in this section, please verify that all the required countries and TINs have been provided.

Employer name	What is your current position/occupation?
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Are you a **deemed insider** (as defined in the Provincial Securities Act) of any public companies?

No Yes If yes, enter the company name(s):

Are you in a **control position** (as defined in the Provincial Securities Act) of any public companies?

No Yes If yes, enter the company name(s):

Are you a **PEP**? Please see section F for definition of a PEP.

No Yes **If yes, please complete section F.**

ID type (Attach copy and for entity, please provide legal documents)	Reference number	Issuing jurisdiction and/or country	Expiry date (mm-dd-yyyy)
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Indicate class of shares or other type of beneficial ownership interest	Ownership interest
	%

Select one **Beneficiary (if Written Trust)** **Club Member (if Investment Club)** **Partner (if Partnership)**

Select one **Individual** **Partnership*** **Written Trust* (*also complete section E)**
 Corporation** **Personal Holding**** **Non-Operating Private Company** (**also complete a SiT100A)**

First name and middle initial	Last Name / Entity name			
Address (number, street, apartment, rural route) (P.O. Boxes only are not acceptable)	City	Province/Territory	Postal code	Country

Ownership Interest #3

Are you a tax resident or citizen of the U.S.? No Yes
If yes, you must provide your SSN/TIN and also complete a **W-9 Request for Taxpayer Identification Number and Certification** form.

Canadian SIN	United States SSN/TIN	Date of Birth (mm-dd-yyyy)
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Are you (*individual or entity*) a tax resident of a jurisdiction other than Canada or the U.S.? No Yes If yes, list up to three jurisdictions and the Tax Identification Number (TIN). If you do not have a TIN for one of the below noted jurisdictions, please indicate one of the following reason codes:

Reason Code 1: I will apply or have applied for a TIN, but have not yet received it (TIN is required within a year)

Reason Code 2: My jurisdiction of tax residence does not issue TINs to its residents

Reason Code 3: Other - Specify (TIN is required within a year)

- 1. _____ TIN _____ Reason Code ____ Other - specify _____
- 2. _____ TIN _____ Reason Code ____ Other - specify _____
- 3. _____ TIN _____ Reason Code ____ Other - specify _____

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Account Number	Account Number
Account Number	Account Number
Account Number	Account Number

If the jurisdiction(s) of tax residency you listed above is one of the following countries please answer the 4 questions below.

Antigua and Barbuda, Bahamas, Bahrain, Barbados, Cyprus, Dominica, Grenada, Malta, Panama, Qatar, Saint Kitts and Nevis, Saint Lucia, Seychelles, Turks and Caicos Islands, United Arab Emirates and Vanuatu.

1. Has the controlling person obtained residency rights under a Citizenship by Investment (CBI) or Residence by Investment (RBI) offering for this country?

No Yes. What Country? _____

2. Does the controlling person hold residence rights in any other jurisdiction?

No Yes. What Country? _____

3. Has the controlling person spent more than 90 days in any jurisdiction during the previous year?

No Yes. What Country? _____

4. In which jurisdictions has the controlling person filed personal income tax returns during the previous year?

- The controlling person has not filed personal taxes in another jurisdiction.
- The controlling person has filed in. Name of Country _____

If you have answered "Yes" in this section, please verify that all the required countries and TINs have been provided.

Employer name	What is your current position/occupation?
---------------	---

Are you a **deemed insider** (as defined in the Provincial Securities Act) of any public companies?

No Yes If yes, enter the company name(s):

Are you in a **control position** (as defined in the Provincial Securities Act) of any public companies?

No Yes If yes, enter the company name(s):

Are you a **PEP**? Please see section F for definition of a PEP.

No Yes **If yes, please complete section F.**

ID type (Attach copy and for entity, please provide legal documents)	Reference number	Issuing jurisdiction and/or country	Expiry date (mm-dd-yyyy)
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Indicate class of shares or other type of beneficial ownership interest	Ownership interest	%
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E Complete this section if you have identified in section D that a Partnership or Written Trust holds an ownership interest

Indicate the corresponding number for the beneficial ownership interest as indicated in section D. For each entity ensure that all Beneficial Owners are identified and the total percentage of each entity equals 100%.

Where there are more beneficial owners, append additional SiT100T Declaration of Beneficial Ownership in a Legal Entity forms.

Ownership Interest #	Select one	<input type="radio"/> Settlor/Grantor <input type="radio"/> Settlor/Grantor and Beneficiary same individual <input type="radio"/> Settlor/Grantor and Trustee same individual <input type="radio"/> Settlor/Grantor, Beneficiary and Trustee same individual <input type="radio"/> Beneficiary <input type="radio"/> Partner (If Beneficiary or Partner is an Entity, append additional completed SiT100A/SiT100T) <input type="radio"/> Trustee <input type="radio"/> Trustee and Beneficiary same individual
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First name and middle initial	Last Name / Entity name			
Address (number, street, apartment, rural route) (P.O. Boxes only are not acceptable)	City	Province/Territory	Postal code	Country

Are you a tax resident or citizen of the U.S.? No Yes

If yes, you must provide your SSN/TIN and also complete a **W-9 Request for Taxpayer Identification Number and Certification** form.

Canadian SIN	United States SSN/TIN	Date of Birth (mm-dd-yyyy)
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Are you (*individual or entity*) a tax resident of a jurisdiction other than Canada or the U.S.? No Yes If yes, list up to three jurisdictions and the Tax Identification Number (TIN).

If you do not have a TIN for one of the below noted jurisdictions, please indicate one of the following reason codes:

Reason Code 1: I will apply or have applied for a TIN, but have not yet received it (TIN is required within a year)

Reason Code 2: My jurisdiction of tax residence does not issue TINs to its residents

Reason Code 3: Other - Specify (TIN is required within a year)

- 1. _____ TIN _____ Reason Code ____ Other - specify _____
- 2. _____ TIN _____ Reason Code ____ Other - specify _____
- 3. _____ TIN _____ Reason Code ____ Other - specify _____

If the jurisdiction(s) of tax residency you listed above is one of the following countries please answer the 4 questions below.

Antigua and Barbuda, Bahamas, Bahrain, Barbados, Cyprus, Dominica, Grenada, Malta, Panama, Qatar, Saint Kitts and Nevis, Saint Lucia, Seychelles, Turks and Caicos Islands, United Arab Emirates and Vanuatu.

1. Has the controlling person obtained residency rights under a Citizenship by Investment (CBI) or Residence by Investment (RBI) offering for this country?

No Yes. What Country? _____

Declaration of Beneficial Ownership in a Legal Entity

Investment Club, Partnership, Retirement Compensation Arrangement and Written Trust

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Account Number	Account Number
Account Number	Account Number
Account Number	Account Number

2. Does the controlling person hold residence rights in any other jurisdiction?

No Yes. What Country? _____

3. Has the controlling person spent more than 90 days in any jurisdiction during the previous year?

No Yes. What Country? _____

4. In which jurisdictions has the controlling person filed personal income tax returns during the previous year?

The controlling person has not filed personal taxes in another jurisdiction.
 The controlling person has filed in. Name of Country _____

If you have answered "Yes" in this section, please verify that all the required countries and TINs have been provided.

Employer name	What is your current position/occupation?
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Are you a **deemed insider** (as defined in the Provincial Securities Act) of any public companies?
 No Yes If yes, enter the company name(s): _____

Are you in a **control position** (as defined in the Provincial Securities Act) of any public companies?
 No Yes If yes, enter the company name(s): _____

Are you a **PEP**? Please see section F for definition of a PEP.
 No Yes **If yes, please complete section F.**

ID type (Attach copy and for entity, please provide legal documents)	Reference number	Issuing jurisdiction and/or country	Expiry date (mm-dd-yyyy)
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Indicate class of shares or other type of beneficial ownership interest	Ownership interest %
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Ownership Interest # *Select one* **Settlor/Grantor** **Settlor/Grantor and Beneficiary same individual** **Settlor/Grantor and Trustee same individual**
 Settlor/Grantor, Beneficiary and Trustee same individual
 Beneficiary **Partner** (If Beneficiary or Partner is an Entity, append additional completed SiT100A/SiT100T)
 Trustee **Trustee and Beneficiary same individual**

First name and middle initial	Last Name / Entity name
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Address (number, street, apartment, rural route) (P.O. Boxes only are not acceptable)	City	Province/Territory	Postal code	Country
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Are you a tax resident or citizen of the U.S.? No Yes
 If yes, you must provide your SSN/TIN and also complete a **W-9 Request for Taxpayer Identification Number and Certification** form.

Canadian SIN	United States SSN/TIN	Date of Birth (mm-dd-yyyy)
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Are you (*individual or entity*) a tax resident of a jurisdiction other than Canada or the U.S.? No Yes If yes, list up to three jurisdictions and the Tax Identification Number (TIN).
 If you do not have a TIN for one of the below noted jurisdictions, please indicate one of the following reason codes:
Reason Code 1: I will apply or have applied for a TIN, but have not yet received it (TIN is required within a year)
Reason Code 2: My jurisdiction of tax residence does not issue TINs to its residents
Reason Code 3: Other - Specify (TIN is required within a year)

1. _____ TIN _____	Reason Code _____	Other - specify _____
2. _____ TIN _____	Reason Code _____	Other - specify _____
3. _____ TIN _____	Reason Code _____	Other - specify _____

If the jurisdiction(s) of tax residency you listed above is one of the following countries please answer the 4 questions below.
 Antigua and Barbuda, Bahamas, Bahrain, Barbados, Cyprus, Dominica, Grenada, Malta, Panama, Qatar, Saint Kitts and Nevis, Saint Lucia, Seychelles, Turks and Caicos Islands, United Arab Emirates and Vanuatu.

1. Has the controlling person obtained residency rights under a Citizenship by Investment (CBI) or Residence by Investment (RBI) offering for this country?
 No Yes. What Country? _____

2. Does the controlling person hold residence rights in any other jurisdiction?
 No Yes. What Country? _____

3. Has the controlling person spent more than 90 days in any jurisdiction during the previous year?
 No Yes. What Country? _____

Declaration of Beneficial Ownership in a Legal Entity

Investment Club, Partnership, Retirement Compensation Arrangement and Written Trust

Rep code

Account Number	Account Number
Account Number	Account Number
Account Number	Account Number

4. In which jurisdictions has the controlling person filed personal income tax returns during the previous year?

The controlling person has not filed personal taxes in another jurisdiction.

The controlling person has filed in. Name of Country _____

If you have answered "Yes" in this section, please verify that all the required countries and TINs have been provided.

Employer name	What is your current position/occupation?
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Are you a **deemed insider** (as defined in the Provincial Securities Act) of any public companies?

No Yes If yes, enter the company name(s): _____

Are you in a **control position** (as defined in the Provincial Securities Act) of any public companies?

No Yes If yes, enter the company name(s): _____

Are you a **PEP**? Please see section F for definition of a PEP.

No Yes **If yes, please complete section F.**

ID type (Attach copy and for entity, please provide legal documents)	Reference number	Issuing jurisdiction and/or country	Expiry date (mm-dd-yyyy)
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Indicate class of shares or other type of beneficial ownership interest	Ownership interest
	%

Ownership Interest # *Select one* **Settlor/Grantor** **Settlor/Grantor and Beneficiary same individual** **Settlor/Grantor and Trustee same individual**
 Settlor/Grantor, Beneficiary and Trustee same individual
 Beneficiary **Partner** **(If Beneficiary or Partner is an Entity, append additional completed SiT100A/SiT100T)**
 Trustee **Trustee and Beneficiary same individual**

First name and middle initial	Last Name / Entity name
-------------------------------	-------------------------

Address (number, street, apartment, rural route) (P.O. Boxes only are not acceptable)	City	Province/Territory	Postal code	Country
---	------	--------------------	-------------	---------

Are you a tax resident or citizen of the U.S.? No Yes

If yes, you must provide your SSN/TIN and also complete a **W-9 Request for Taxpayer Identification Number and Certification** form.

Canadian SIN	United States SSN/TIN	Date of Birth (mm-dd-yyyy)
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Are you (*individual or entity*) a tax resident of a jurisdiction other than Canada or the U.S.? No Yes If yes, list up to three jurisdictions and the Tax Identification Number (TIN).
 If you do not have a TIN for one of the below noted jurisdictions, please indicate one of the following reason codes:

Reason Code 1: I will apply or have applied for a TIN, but have not yet received it (TIN is required within a year)

Reason Code 2: My jurisdiction of tax residence does not issue TINs to its residents

Reason Code 3: Other - Specify (TIN is required within a year)

1. _____ TIN _____ Reason Code ___ Other - specify _____

2. _____ TIN _____ Reason Code ___ Other - specify _____

3. _____ TIN _____ Reason Code ___ Other - specify _____

If the jurisdiction(s) of tax residency you listed above is one of the following countries please answer the 4 questions below.
 Antigua and Barbuda, Bahamas, Bahrain, Barbados, Cyprus, Dominica, Grenada, Malta, Panama, Qatar, Saint Kitts and Nevis, Saint Lucia, Seychelles, Turks and Caicos Islands, United Arab Emirates and Vanuatu.

- Has the controlling person obtained residency rights under a Citizenship by Investment (CBI) or Residence by Investment (RBI) offering for this country?**
 No Yes. What Country? _____
- Does the controlling person hold residence rights in any other jurisdiction?**
 No Yes. What Country? _____
- Has the controlling person spent more than 90 days in any jurisdiction during the previous year?**
 No Yes. What Country? _____
- In which jurisdictions has the controlling person filed personal income tax returns during the previous year?**
 The controlling person has not filed personal taxes in another jurisdiction.
 The controlling person has filed in. Name of Country _____

If you have answered "Yes" in this section, please verify that all the required countries and TINs have been provided.

Employer name	What is your current position/occupation?
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Declaration of Beneficial Ownership in a Legal Entity

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Rep code

Account Number	Account Number
Account Number	Account Number
Account Number	Account Number

Are you a **deemed insider** (as defined in the Provincial Securities Act) of any public companies?
 No Yes If yes, enter the company name(s):

Are you in a **control position** (as defined in the Provincial Securities Act) of any public companies?
 No Yes If yes, enter the company name(s):

Are you a **PEP**? Please see section F for definition of a PEP.
 No Yes **If yes, please complete section F.**

ID type (Attach copy and for entity, please provide legal documents)	Reference number	Issuing jurisdiction and/or country	Expiry date (mm-dd-yyyy)
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Indicate class of shares or other type of beneficial ownership interest	Ownership interest	%
Total Ownership Interest for section E (must equal 100%)		%

F Complete this section for all identified Politically Exposed Persons ("PEP")

Where there are more beneficial owners, append additional **SIT100T Declaration of Beneficial Ownership in a Legal Entity forms.**

PEP #1	First Name and middle initial	Last Name	Relationship to client	
	Nature of the PEP's position or office *		Dates (span of years) position held	Country where position is/was held
	Description of the PEP's official duties			
PEP #2	First name and middle initial	Last Name	Relationship to client	
	Nature of the PEP's position or office *		Dates (span of years) position held	Country where position is/was held
	Description of the PEP's official duties			
PEP #3	First Name and middle initial	Last Name	Relationship to client	
	Nature of the PEP's position or office *		Dates (span of years) position held	Country where position is/was held
	Description of the PEP's official duties			

Definition of a PEP

A PEP is considered an individual or close associate of an individual who holds any of the below positions.

*** List of PEP occupations**

- | | | |
|---|--|---|
| - Head of an Institute | - Mayor of a Canadian municipality | - Counselor of an ambassador |
| - Member of a ruling family | - Head of a government agency | - Judge of an appellate court or local equivalent |
| - Member of an executive council of government | - President of a state-owned company or bank | - Member of a legislature |
| - Deputy Minister (or equivalent) | - Head of an international organization established by the governments of states | - Head of State |
| - Military rank of general or equivalent (or higher rank) | - Leader or president of a political party in a legislature | - Head of Government |
| - Judge of a supreme court | - Head of a charity | - Attaché |
| - Ambassador | | |

Definition of a PEP

- What about their close associates? Are they considered a PEP?
 - Parties closely associated with PEPs (i.e., members of the immediate family or close associates of a PEP) must also be classified as PEPs.
- Family members of PEPs (who must also be flagged and treated as a PEP) consist of the:
 - Mother or father of a PEP; Child of a PEP; Spouse or common-law partner of a PEP; Mother or father of the spouse or common-law partner of a PEP; and Brother, sister, half-brother or half-sister of the PEP (that is, any other child of the individual's mother or father).
- Parties closely associated with PEPs (who must also be flagged and treated as a PEP) include any person who is a widely and publicly known close business colleague or personal advisor to a PEP, in particular financial advisors or persons acting in a financial fiduciary capacity, or is otherwise widely and publicly known to maintain an unusually close relationship with a PEP. That said, if the Business Line has actual knowledge of a close association to a PEP, they should consider the close associate to be a PEP, even if such association is not widely known.

Declaration of Beneficial Ownership in a Legal Entity

Investment Club, Partnership, Retirement Compensation Arrangement and Written Trust

Rep code

Account Number	Account Number
Account Number	Account Number
Account Number	Account Number

G Complete this section for all identified Politically Exposed Persons ("PEP")

The undersigned authorized person certifies and agrees that:

- The above is a full and complete disclosure of information with respect to the above account holder and to all natural persons, entities, trusts and directors holding ownership interest (direct or indirect) in the above named account;
- We will maintain accurate up-to-date information respecting all beneficial owners by notifying Scotia Capital Inc. of material changes and provide confirmation and up-to-date information about beneficial owners as requested under the Proceeds of Crime (Money Laundering) and Terrorist Financing Act, Qualified Intermediary, Canadian Tax Regulations related to the Foreign Account Tax Compliance Act and Investment Industry Regulatory Organization of Canada regulations, or by an external auditor of Scotia Capital Inc.
At the time of an external audit examination, we understand that we may be contacted by Scotia Capital Inc. and requested to provide to their auditors information on the current beneficial owners to validate that the current file at Scotia Capital Inc. is up-to-date and accurate, or to provide new information about beneficial owners as required, and agree to do so;
- The income to which this form relates is: (a) not effectively connected with the conduct of a trade or business in the United States, (b) effectively connected but is not subject to tax under an income tax treaty, or (c) the partner's share of a partnership's effectively connected income, and for broker transactions or barter exchanges, the beneficial owner is an exempt foreign person as defined in the instructions;
- We acknowledge that information contained in this form and information regarding my Scotia iTRADE Inc. account(s) (including information on account balances and payments received) may be reported to the Canada Revenue Agency (CRA), and that the CRA may provide the information to any additional country I have listed above as being a country in which I am a resident for tax purposes;
- The authorized person below has the capacity to sign this form for the beneficial owner(s) named on this form; and
- We will provide the required verification documents for this entity and the personal identity documents for authorized persons and individual beneficial owners and submit a new form within 30 days of such change in circumstances if any certification on this form becomes incorrect as required under current legislation and regulations.

X Signature of Authorized Person	Date (mm-dd-yyyy)
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Authorized Person Name	Position within organization (CEO, President, Secretary, Trustee, etc)
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X Signature of Authorized Person	Date (mm-dd-yyyy)
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Authorized Person Name	Position within organization (CEO, President, Secretary, Trustee, etc)
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Signature of Advisor	Advisor name	Date (mm-dd-yyyy)
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Signature of Advisor	Advisor name	Date (mm-dd-yyyy)
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